

NORTH YORKSHIRE COUNTY COUNCIL

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# **QUARTERLY MEETING**

**23 July, 2014**

**Summons, Agenda, Minutes  
and Reports**



## NORTH YORKSHIRE COUNTY COUNCIL

You are hereby summoned to attend the Meeting of the County Council to be held at County Hall, Northallerton, on **Wednesday, 23 July 2014 at 10.30 am**, at which the following business will be transacted.

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public, subject to:- (i) the recording being conducted under the direction of the Chairman of the meeting; and (ii) compliance with the Council's protocol on audio/visual recording and photography at meetings, a copy of which is available to download below. Anyone wishing to record must contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. Any recording must be clearly visible to anyone at the meeting and be non-disruptive. <http://democracy.northyorks.gov.uk/>

### BUSINESS

1. To move that the **Minutes of the meeting of the County Council held on 21 May 2014** having been printed and circulated, be taken as read and be confirmed and signed by the Chairman as a correct record.  
**(Pages 1 to 8)**
2. **Chairman's Announcements** - Any correspondence, communication or other business brought forward by the direction of the Chairman of the Council.
3. **A Statement by the Leader of the Council**  
**(Pages 9 to 10)**
4. **Public Questions or Statements**
5. To consider the **report and recommendations of the Executive** and make decisions on them.  
**(Pages 11 to 48)**  
**(All Appendices attached as a separate booklet)**
  - Revenue Budget Report 2013-14
  - Capital Plan
  - Young and Yorkshire: The Plan for all Children, Young People and their Families Living in North Yorkshire 2014-17
  - Youth Justice Service Strategic Plan 2014-16
  - Appointments to Committees and Outside Bodies
6. **Statements of Executive Members**, in the order set out below, **followed by Statements by the Chairmen of the Overview and Scrutiny Committees.**

**Executive Members:-**

- (a) Highways and planning services. (Portfolio holder: County Councillor Gareth Dadd).
- (b) Rural Services, waste disposal, public passenger transport, trading standards, economic development and library services. (Portfolio holder: County Councillor Chris Metcalfe).
- (c) Public health and preparation. (Portfolio holder: County Councillor Don MacKenzie)
- (d) Central and financial services including assets, IT and procurement. (Portfolio holder: County Councillor Carl Les).
- (e) Children's services, special education needs youth justice, youth service and adult learning. (Portfolio holder: County Councillor Tony Hall).
- (f) Schools, 16-19 year old education and early years' provision. (Portfolio holder: County Councillor Arthur Barker).
- (g) Adult social care and health integration. (Portfolio holder: County Councillor Clare Wood).

**Overview and Scrutiny Committee Chairmen.**

- (h) Scrutiny Board (Chairman: County Councillor Elizabeth Casling).
- (i) Scrutiny of Health Committee (Chairman: County Councillor Jim Clark).
- (j) Young People Overview and Scrutiny Committee (Chairman: County Councillor Elizabeth Shields).
- (k) Care and Independence Overview and Scrutiny Committee (Chairman: County Councillor Patrick Mulligan).
- (l) Corporate and Partnerships Overview and Scrutiny Committee (Chairman: County Councillor Derek Bastiman).
- (m) Transport, Economy and Environment Overview and Scrutiny Committee (Chairman: County Councillor David Jeffels).

Each statement may be followed by any questions arising from the statement and then, subject to notice having been given by 10.00 am on 22 July, 2014 questions on matters in that portfolio not mentioned in the statement.

**9. Council Procedure Rule 10 Questions**

**10. Motion – Pay Dispute**

County Councillor John Clark to move and County Councillor John Savage to second

"North Yorkshire County Council:

In view of the tensions caused by strikes and the need for good Council/employee relations this Council resolves:

- (i) To call upon the government to facilitate pay negotiations with the appropriate unions.
- (ii) To call upon the LGA to re-open wage negotiations with the appropriate unions.

County Hall,  
NORTHALLERTON.  
15 July 2014

- NOTES:
- (a) Coffee will be available in meeting Room 4 before the meeting.
  - (b) A buffet lunch will be provided in the Grand.
  - (c) Tea and coffee will be available in the Meeting Room 4 at the conclusion of the meeting.

## QUESTIONS

**Council Procedure Rules 2.4 and 10** set out the procedures for asking questions at the County Council Meeting.

### 2.4 REPORTS, STATEMENTS AND QUESTIONS

- (a) Any reports of the Executive or committees shall, whenever possible, be printed and circulated to Members of the Council before the meetings at which they are to be considered.
- (b) The Leader of the Council may make a statement to the Council on any matters relevant to the Council and any portfolio holder (including the Leader if he/she holds a portfolio) or Chairman of any overview and scrutiny committee may make a statement to the Council on any matters relevant to that portfolio or the work of that overview and scrutiny committee. Members of the Council may, without notice, ask the Member who made the statement questions arising from matters raised in that statement or may ask any questions on matters in that portfolio not mentioned in the statement, but unless notice has been given by 10 am the previous day the person to whom the question has been put may respond in writing, with a copy being placed in Members' Group Rooms. The provisions of Council Procedure Rule 10 (b), (c), (d) and (e) shall apply to questions under this Council Procedure Rule.
- (c) No Member shall speak more than once or for more than two minutes on any statement made by the Leader or a portfolio holder or Chairman of the Scrutiny Board or of an Overview and Scrutiny Committee, except that one representative of each Group may speak for 5 minutes when responding to the statement made by the Leader. The Leader or portfolio holder or Chairman of the Scrutiny Board or of an Overview and Scrutiny Committee making the statement is not limited in the time allowed to make the statement or limited in time or frequency of speaking when responding to questions.

### 10. QUESTIONS BY MEMBERS

- (a) In addition to his/her right under Council Procedure Rule 2.4 to ask questions of the Leader or a portfolio holder or Chairman of an overview and scrutiny committee or in any report, a Member may ask the Chairman of any committee, other than an overview and scrutiny committee, any questions relating to the business of the Council or may ask the Chairman or nominated member of the North Yorkshire Police Authority, the North Yorkshire Fire and Rescue Authority, the North York Moors National Park Authority or the Yorkshire Dales National Park Authority, a question on the discharge of the functions of such Authority.
- (b) Every question shall be put and answered without discussion, but the person to whom a question has been put may decline to answer.
- (c) With the consent of the Chairman of the Council, the Member who has asked a question may ask a supplementary question arising out of the reply.
- (d) Where the information asked for in a question is contained in any of the Council's publications, it shall be deemed a sufficient reply if the publication containing the information is indicated.
- (e) Where the reply to any question cannot conveniently be given orally, it shall be deemed a sufficient reply if the answer is supplied to the Member asking the question as soon as reasonably possible and copies shall at the same time be placed in the Members' Group Rooms.
- (f) The Chairman shall call on Members to ask questions under this Council Procedure Rule in the order of respondent set out below and, within that order, in the order in which notice of questions was given to the Head of Legal and Committee Services by noon the previous day. In the absence of prior notice having been given, Members must inform the Chairman of their wish to ask a question and these will be called in the order received, but after questions of which prior notice was given.
- (g) The time limit for questions under this Council Procedure Rule for each respondent will be 5 minutes. Once the time allocated for any respondent has expired at any meeting, the Chairman shall not permit any further questions to be asked of that respondent under this Council Procedure Rule but, if a question or response has been started it may be completed, irrespective of the time limit, as may any response to any question being asked when the time limit is reached.

#### Order of questions

- (i) to the Chairman of the Standards Committee;
- (ii) to the Chairman of an Area Committee;
- (iii) to the Chairman of any other of the Council's committees;
- (iv) to the Chairman or other representative of:-
  - North Yorkshire Fire and Rescue Authority
  - North Yorkshire Police Authority
  - North York Moors National Park Authority
  - Yorkshire Dales National Park Authority

## Meetings of the County Council - Evacuation in Emergency

Although it is very unlikely that there will be a fire, or any other emergency, on the day of a meeting of the County Council, it remains a possibility that such an emergency will arise. The following guidance notes are intended to assist Members of the Council and the public to evacuate the building safely, in such circumstances.

The Council Chamber, and the associated Public Gallery, pose a number of difficulties for rapid evacuation in an emergency, not least being the considerable number of people who can be in the Chamber and the Gallery at any time, but also because of evacuation routes from the building. If, in the case of a fire or other emergency, the main staircase were to be impassable, it would be necessary to evacuate the Council Chamber through the Public Gallery and, from there, down the staircase that leads from the Public Gallery to the central courtyard. It is not possible, however, to know which route is most appropriate, if an alarm is sounded, without a quick preliminary examination being made.

(a) Therefore, if the fire alarm is sounded during a meeting of the County Council:-

- the Democratic Services Manager (or another Democratic Services Officer) will act as Fire Evacuation Warden and will issue instructions on the appropriate exit to use, as soon as it is established by a Democratic Services officer whether the main staircase is clear of fire or obstruction;
- please leave your seat without delay, so that you are ready to leave the Chamber when the evacuation route is clear;
- if evacuation is via the main staircase, please leave the building by the main doors, but assemble on the south side car park (with Members' parking bays marked in red);
- if evacuation is via the Public Gallery, please leave the Chamber via the doors in the Labour/Liberal Democrat corner of the room, through the Public Gallery and down the staircase to the centre courtyard, from there via the courtyard gate to assemble in the north side car park (by the Superintendent's house on Racecourse Lane);
- Democratic Services staff will ensure that the Chamber and Public Gallery are cleared, that windows and doors are closed wherever possible, and will report to the Fire Evacuation Controller.

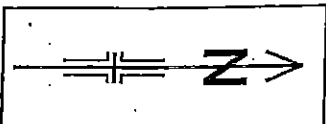
(b) If the fire alarm is sounded during Group meetings:-

- please close all windows and proceed to the nearest exit (subject to that being free of fire or other obstruction) closing any doors behind you;
- check that no-one has been left in the room in which you are meeting;
- assemble on the south side car park (with Members' parking bays marked in red); and
- ask one of the Group to report to the Fire Evacuation Controller who will be at the main entrance doors, (without re-entering the building) that the room you evacuated was clear of people.

(c) If you are in another room when the fire alarm is sounded, for example your Group Room, the Members' Lounge or Dining Room:-

- please ensure that no-one else remains in the room;
- check (as far as reasonably practicable) that windows and adjoining doors are closed;
- close the door after leaving the room and leave the building by the nearest exit
- then, without re-entering the building, report to the Fire Evacuation Controller at the main entrance doors that the room which you evacuated was clear of other persons.

(NOTE: These notes and the plan overleaf seek to do no more than give outline guidance to Members on action they should take if the fire alarm is sounded while they are in the building. It is not possible to provide detailed guidance which will cover every eventuality. The core purposes of the guidance note are to assist with the safe evacuation of all persons in the building and to ensure that the officer acting as Fire Evacuation Controller is able to give the Fire and Rescue Service, when they attend any fire, the best achievable information about whether any persons may remain in the building and, if so, their likely location. Every report of a room being clear of persons assists substantially in this task. The Democratic Services Manager will be pleased to receive any comments about these guidance notes, particularly as to how they might be improved).



Members  
Car Parking

Visitors  
Car Parking

Pond

Pond

**SOUTH SIDE  
CAR PARK**

Fire Assembly  
Point

MAIN  
EVACUATION ROUTE

Council  
Chamber

Fire Assembly  
Point

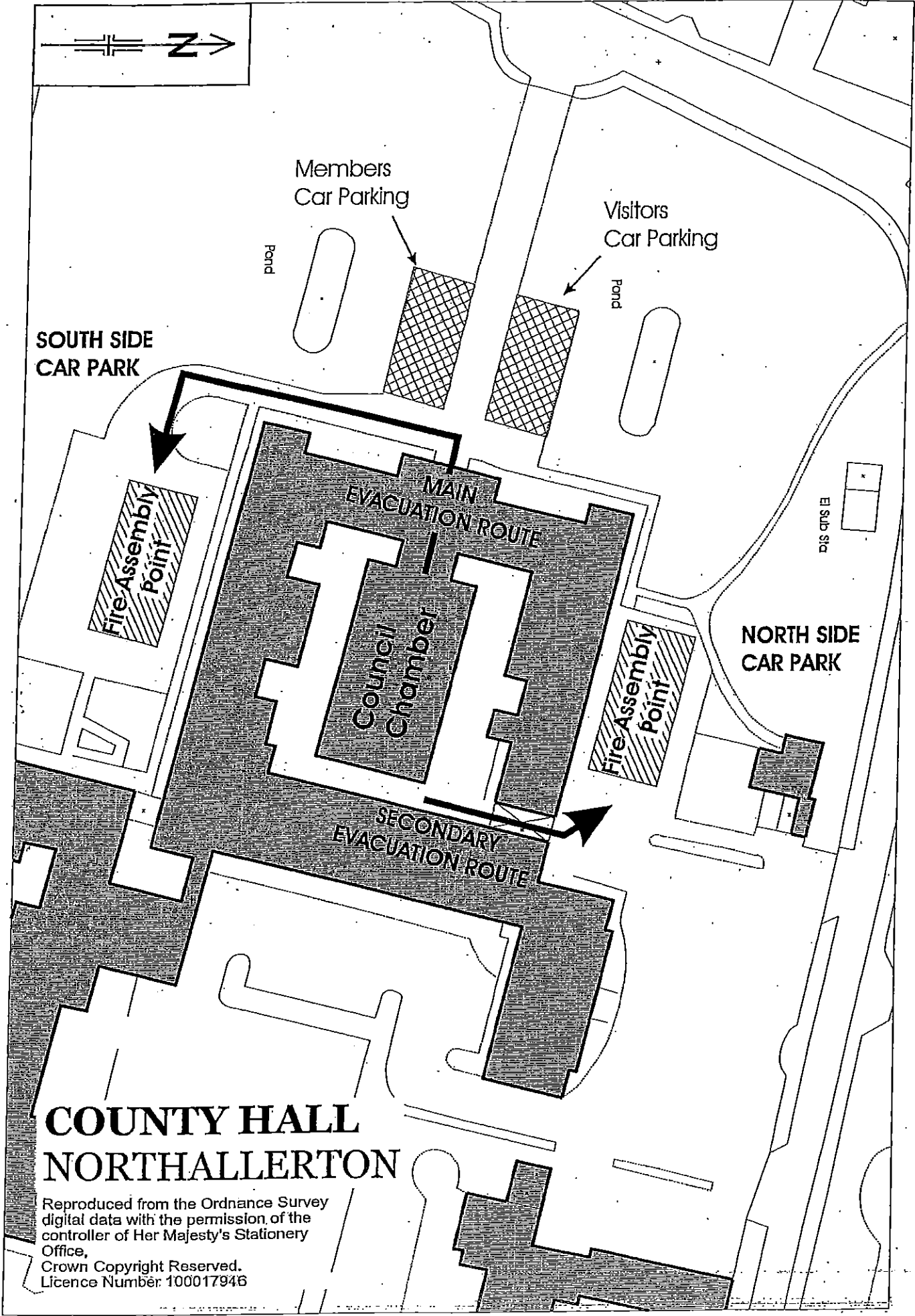
**NORTH SIDE  
CAR PARK**

SECONDARY  
EVACUATION ROUTE

El Sub Sid

# COUNTY HALL NORTHALLERTON

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## NORTH YORKSHIRE COUNTY COUNCIL

Minutes of the Annual General meeting of the County Council held at County Hall, Northallerton on 21 May, 2014 commencing at 10.30 am.

### **Present:-**

County Councillor Bernard Bateman MBE in the Chair.

County Councillors Val Arnold, Margaret Atkinson, Andrew Backhouse, Robert Baker, Arthur Barker, Philip Barrett, John Blackburn, David Blades, Eric Broadbent, Jean Butterfield, Liz Casling, David Chance, Jim Clark, John Clark, Sam Cross, Gareth Dadd, Margaret-Ann de Courcey-Bayley, John Ennis, John Fort BEM, Helen Grant, Tony Hall, Michael Harrison, Roger Harrison-Topham, Michael Heseltine, Robert Heseltine, Peter Horton, Bill Hault, David Ireton, David Jeffels, Janet Jefferson, Anne Jones, Mike Jordan, Andrew Lee, Carl Les, Cliff Lunn, Don Mackenzie, Penny Marsden, Brian Marshall, Chris Metcalfe, Heather Moorhouse, Patrick Mulligan, Robert Packham, Stuart Parsons, Caroline Patmore, Joe Plant, Tony Randerson, John Ritchie, Janet Sanderson, John Savage, Steve Shaw-Wright, Elizabeth Shields, David Simister, Peter Sowray, Tim Swales, Helen Swiers, Cliff Trotter, John Weighell, Richard Welch, James Windass and Clare Wood,

### **Election of Chairman**

It was moved by County Councillor Tony Hall and seconded by County Councillor Peter Sowray that County Councillor Tim Swales be elected as Chairman of the County Council to serve until the Annual Meeting of the Council in 2015.

**The Council divided and, on a show of hands, the motion was declared carried, with none against, and no abstentions.**

### **Resolved -**

**47.** That County Councillor Tim Swales is elected as Chairman of the County Council, to serve until the Annual Meeting of the Council in 2015.

County Councillor Tim Swales then made his declaration of acceptance of the office of Chairman of the County Council.



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**County Councillor Tim Swales in the Chair**

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The Chairman thanked the Council for his election and it was then moved by County Councillor Tim Swales and seconded by County Councillor John Weighell:-

“That this Council places on record its appreciation of the exceptional service rendered to it by County Councillor Bernard Bateman MBE as Vice-Chairman of the County Council from 2012 to 2013 and as Chairman of the County Council from 2013 to 2014 and also places on record its thanks to Linda Bateman for the unfailing support which she has given the Chairman during his terms of office.”

Leaders of other political groups spoke in support.

**The Council divided and, on a show of hands, the motion was declared carried with none against, and no abstentions.**

**Resolved –**

**48.** That this Council places on record its appreciation of the exceptional service rendered to it by County Councillor Bernard Bateman MBE as Vice-Chairman of the County Council from 2012 to 2013 and as Chairman of the County Council from 2013 to 2014 and also places on record its thanks to Linda Bateman for the unfailing support which she has given the Chairman during his terms of office.

The Chairman presented a past Chairman’s badge to County Councillor Bernard Bateman MBE.

County Councillor Bernard Bateman MBE responded.

**Minutes**

It was moved and seconded that the Minutes of the meeting of the County Council held on Wednesday, 19 February 2014, having been printed and circulated, be taken as read and be confirmed and be signed by the Chairman as a correct record.

**The Council divided and, on a show of hands, the motion was declared carried with none against and no abstentions.**

**Resolved -**

**49.** That the Minutes of the meeting of the County Council held on Wednesday, 19 February 2014, having been printed and circulated, are taken as read and are confirmed and signed by the Chairman as a correct record.

### **Appointment of Vice-Chairman**

It was moved by County Councillor Derek Bastiman and seconded by County Councillor Helen Swiers that County Councillor David Jeffels be appointed as Vice-Chairman of the County Council, to serve until the Annual Meeting of the Council in 2015.

**The Council divided and, on a show of hands, the motion was declared carried, with none against and no abstentions.**

#### **Resolved –**

**50.** That County Councillor David Jeffels is appointed as Vice-Chairman of the County Council, to serve until the Annual Meeting of the Council in 2015.

County Councillor David Jeffels then made his declaration of acceptance of the office of Vice-Chairman of the County Council and thanked the Council for his appointment.

### **Chairman's Announcements**

The Chairman welcomed Honorary Aldermen Jane Kenyon-Miller, Caroline Seymour, and Michael Knaggs to the meeting. He then formally announced the death of Polly English, the County Councillor representing the Skipton West electoral division since May 2005, and the death of Ford Longman, a former Member of this Council, who represented the Tadcaster West electoral division from February 1986 to May 1997 and asked Members to stand in silence in their memory.

The Chairman reported apologies for absence and drew to the attention of Members of the Council the time limits for speeches.

### **Statement by the Leader of the Council**

County Councillor John Weighell congratulated the Chairman and Vice-Chairman on their appointment and made a statement, under Council Procedure Rule 2.3, as Leader of the Council, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5679-5680). He thanked Stephen Knight, Principal Officer Democracy and Governance, for his service to the Council over the past 32 years and wished him well in his retirement. Other Members then expressed their thanks to Stephen Knight for his service to the Council. The Leader responded to a question.

### **Public Questions or Statements**

No notice had been received of questions or statements from members of the public.

## Report of the Executive

### North Yorkshire Community Plan

The recommendations in paragraph 1 of the report (page 5684) were moved and seconded.

**The Council divided and, on a show of hands, the motion was declared carried, with none against and no abstentions.**

#### Resolved -

**51.** That the draft North Yorkshire Community Plan 2014/17 at County Council Appendix 1A, page 35, is approved.

### Local Transport Plan 2011-2016 Mid Term Review

The recommendations in paragraph 2 of the report (pages 5686) were moved and seconded.

County Councillor Bill Hoult moved an amendment:

After the words "That the draft Local Transport Plan 3 addendum be approved for adoption" that the following words be added:-

"subject to the reference in 3.1.2 of the plan that the 'Executive support the HS2 initiative' be not taken to infer the support of the Full Council" which was seconded by County Councillor Margaret Ann de Coursey-Bayley

**The Council divided and, on a show of hands, the amendment was declared carried, with none against and no abstentions.**

**The Council then divided and, on a show of hands, the amended motion as amended was declared carried, with none against and no abstentions.**

#### Resolved -

**52.** That the draft Local Transport Plan 3 addendum is approved for adoption subject to the reference in 3.1.2 of the plan that the 'Executive support the HS2 initiative' be not taken to infer the support of the Full Council.

### **Motion – Blacklisting (Post Crossrail)**

The motion in paragraph 3 of the report (pages 4687-5691) having been moved and seconded, it was moved and seconded that the motion be amended by deleting all but the final paragraph and, in that paragraph, “legal” be inserted after the word “proper” and “illegal” be inserted in place of “grave” .

**The Council divided and, on a show of hands, the amendment to the motion was declared carried, with none against and no abstentions. .**

**The Council then divided and, on a show of hands, the amended motion was declared carried, with none against and no abstentions.**

#### **Resolved -**

**53.** That it is agreed that the Council will ensure its procurement processes, so far as it lawfully can, will ensure proper legal recruitment and workforce welfare practices on the part of contractors it engages, and in relation to the selection of contractors, the Council will aim to ensure any instances where contractors may have committed illegal misconduct of this nature are identified and properly taken into account during the assessment of suitability to undertake Council contracts.”

### **Motion – Proposition to create a Unitary Authority in North Yorkshire**

The motion in paragraph 4 of the report (page 5692) was moved and seconded, it was moved and seconded that the motion be amended by deleting the first reference to “North Yorkshire County Council” and the final paragraph, and by deleting the word “initiates” and adding, in its place, “notes the proposal to initiate” and adding the following words at the end of the motion:

“North Yorkshire County Council recognises the potential for savings in the cost of providing local government services that could be realised from the creation of a new, single, unitary Council for the administrative area presently covered by the County Council’s boundaries. At present there is no central government driver or appetite for such re-organisation. Also there may be little prospect of agreement with our Districts or Borough Councils on a new unitary model for local government.

NYCC will continue to co-operate and work with other Councils in the area. We will continue to seek out opportunities to work in partnership with the other Councils to generate cost savings where possible and to make the present structure work as effectively as it can for the benefit of the residents and businesses of North Yorkshire, customers we all serve.

At a time of numerous challenges to all councils, be they financial or about capacity, now is not the time to distract councils from working more efficiently and effectively together to overcome those challenges to improve outcomes for all our residents.”

**The Council divided and, on a show of hands, the amendment was declared carried, with 2 against and 2 abstentions.**

**The Council then divided and, on a show of hands, the amended motion was declared carried, with 2 against and 2 abstentions.**

**Resolved -**

**54.** That North Yorkshire County Council notes the proposal to initiate talks with the District and Borough Councils of Harrogate, Scarborough, Selby, Ryedale, Craven, Hambleton and Richmond, to create a unitary authority of North Yorkshire; to open dialogue with the Secretary of State for Communities and Local Government, Eric Pickles, and the District Councils to facilitate a new authority.

North Yorkshire County Council recognises the potential for savings in the cost of providing local government services that could be realised from the creation of a new, single, unitary Council for the administrative area presently covered by the County Council's boundaries. At present there is no central government driver or appetite for such re-organisation. Also there may be little prospect of agreement with our Districts or Borough Councils on a new unitary model for local government.

NYCC will continue to co-operate and work with other Councils in the area. We will continue to seek out opportunities to work in partnership with the other Councils to generate cost savings where possible and to make the present structure work as effectively as it can for the benefit of the residents and businesses of North Yorkshire, customers we all serve.

At a time of numerous challenges to all councils, be they financial or about capacity, now is not the time to distract councils from working more efficiently and effectively together to overcome those challenges to improve outcomes for all our residents.

**LGPS 2014 Discretions Policy**

The recommendations in paragraph 5 of the report (page 5693) were moved and seconded.

**The Council divided and, on a show of hands, the motion was declared carried, with none against and no abstentions.**

**Resolved –**

**55.** That the list of employer discretions listed in County Council Appendix 3B of this report, is approved and amend the North Yorkshire County Council Local Government Pension Scheme discretion policy, as amended in 2013.

**Amendments to the Constitution**

The recommendations in paragraph 6 of the report (page 5699) were moved and seconded.

**The Council divided and, on a show of hands, the motion was declared carried, with 4 against and 11 abstentions. County Councillor Stuart Parsons requested that it be recorded that he had voted against the motion.**

**Resolved –**

**56.** That the amendments to the Constitution, as set out at Appendix 4A , page 144, to this report, are approved, subject to retaining the Community Safety Strategy in the Policy Framework, as it remains a statutory strategy at this time, and to adding the words “and that the matter be referred to the Executive for determination where there is an outstanding objection which is supported by the local Member(s) at the end of (e)(i) on page 11” of County Council Appendix 4A, starting at page 144.

That the draft Protocol on Audio/Visual Recording and Photography at Meetings, as set out at County Council Appendix 4B, page 160, to this report, is adopted and included in Part 5 of the Council’s Constitution.

That the powers relating to DMMO’s, PPO’s and TRO’s referred to on pages 9 to 12 of County Council Appendix 4A starting at page 144, as amended, are added to the Officers’ Scheme of Delegation - to the Corporate Director - Business and Environmental Services.

That the existing procedure for processing applications for either DMMOs or PPOs be changed to remove the need to report opposed Orders to Area Committees.

That the Constitution Working Group’s discussions, concerning Access to Information requirements, minuting style, and the terms of reference of the Health and Wellbeing Board, are noted.

**Appointments to Committees and Outside Bodies**

The recommendation in paragraph 7 of the report (page 5700) having been moved and seconded; the Council was informed of a number of additional nominations.

**The Council divided and, on a show of hands, the motion was declared carried, with none against and no abstentions.**

**Resolved –**

**57.** That Mr James Daghish and Mr David Portlock is re-appointed, as non-voting External Members of Audit Committee, to serve until 27 June 2014.

That Paul Bircumshaw is appointed to the Young People Overview and Scrutiny Committee as a secondary teacher representative in place of Chris Head.

That County Councillor Margaret Ann de Courcey Bayley, Liberal Democrat is appointed Vice Chairman of the Scrutiny of Health Committee to fill the vacancy arising as a result of the death of County Councillor Polly English.

That changed status of existing representatives for Hambleton District Council on the Scrutiny of Health Committee is approved as follows: Councillor P Bardon: to change from substitute to member and Councillor D Blades: change from member to substitute

That County Councillor Derek Bastiman is appointed Chairman of Corporate and Partnerships Overview and Scrutiny Committee in place of County Councillor Tim Swales

That the reduction in County Council seats on the North York Moors National Park Authority from 5 to 4 is noted and that the following nominees are appointed: County Councillors David Chance, Caroline Patmore, and Helen Swiers – Conservative; Bryn Griffiths – Liberal Democrats.

**Statements of Executive Members followed by  
the Scrutiny Annual Report for 2013/2014 which incorporates  
Statements from the Overview and Scrutiny Committees Chairmen**

County Councillor Clare Wood, the Executive Member for adult social care and health integration made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5843-5844).

County Councillor Gareth Dadd, the Executive Member for highways and planning services made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5845-5846 and responded to questions.

County Councillor Chris Metcalfe, the Executive Member for rural services, waste disposal, public passenger transport, trading standards, economic development and library services presented a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5847-5852) and responded to questions.

County Councillor Don Mackenzie, the Executive Member for public health and prevention made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5853-5854).

County Councillor Carl Les, the Executive Member for central and financial services including assets, IT and procurement made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5855-5856) .

County Councillor Tony Hall, the Executive Member for children's services, special education needs youth justice, youth service and adult learning made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5857-5860) and responded to questions.

County Councillor Arthur Barker, the Executive Member for schools, 16-19 year old education and early years' provision made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 5861-5864) and responded to questions.

The Scrutiny Annual Report for 2013/2014 which incorporates Statements from the Overview and Scrutiny Committees Chairmen (pages 5865-5878) having previously been circulated, the Chairmen of the Scrutiny Board; the Care and Independence Overview and Scrutiny Committee; the Corporate and Partnerships Overview and Scrutiny Committee; the Scrutiny of Health Committee; the Young People Overview and Scrutiny Committee; and the Transport, Economy and Environment Overview and Scrutiny Committee responded to questions.

**Council Procedure Rule 10 Questions**

There were no Council Procedure Rule 10 questions.

**NORTH YORKSHIRE COUNTY COUNCIL**23<sup>rd</sup> July 2014**STATEMENT OF THE LEADER  
COUNTY COUNCILLOR JOHN WEIGHHELL****Tour de France**

Just over two weeks ago the Tour de France visited Yorkshire and captured the attention of millions.

At the heart of this was North Yorkshire which played host to 51% of the overall Yorkshire Stages 1 and 2. There have been differing estimates from 2 million to 4 million in terms of the spectators who watched the event but the truth is that the crowds were vast.

Each of our market towns on the route from Skipton to Hawes, to Leyburn and culminating in Harrogate itself, had massive crowds. In between almost the entirety of the route was lined with spectators. Some of the most iconic scenes of the whole Grand Depart took place in the beautiful countryside of North Yorkshire, such as Buttertubs and Grinton Moor where the images of blue sky, beautiful scenery, huge crowds and battling riders caught our imagination.

After some worrying overnight and early morning rain, the sun came out, the skies were a vivid blue and the magnificent scenery, heritage buildings, villages and towns of North Yorkshire were seen by a huge worldwide audience with many commenting on the unrealised beauty of it all.

In amongst all of this Stage 1 and Stage 2 both had fantastic cycle races. The highest drama was reserved for Harrogate with Marcel Kittel winning a thrilling sprint finish that contained the drama of a crash and the very disappointing injury to Mark Cavendish.

The benefits that will have resulted from the Tour de France are many. The immediate boost to the economy that so many visitors will have brought, the enormous profile gained for the county that will help deliver tourists for years to come, the enthusiastic way in which communities came together to celebrate and to enjoy the Tour, the boost to cycling and physical activity across the county and the engagement of young people whose imagination will be captured by the event.

North Yorkshire County Council has played a significant role in leading on delivering this event alongside Leeds City Council, Welcome to Yorkshire and many other partners. I would like to pay particular tribute to Gary Verity and his Welcome to Yorkshire Team for winning the Grand Depart for Yorkshire and to the Amore Sports Organisation who run the actual race and who were great partners to work with. However, I would most of all like to thank those within North Yorkshire who made our part of the event such a success. This clearly includes all of the parish councils, community organisers and other individuals who helped the communities of North Yorkshire engage in the event so enthusiastically. I would like to thank the many



tour-makers who came from North Yorkshire to volunteer and to help the event take place. I would in particular like to thank the many staff from across the county, some of whom have worked on this project for over a year. Their organisation, professionalism and commitment to the event were enormous and were crucial to its success. I would also like to thank the many other partners who worked with the County Council, our district colleagues, the police, the ambulance service, North Yorkshire Dales National Park and many others who combined together as a hugely effective team.

### **Highways Maintenance**

I have previously reported to Council in my Leader's statement efforts that we, as a county council have been making, to achieve a higher level of investment into our road network. Colleagues will be aware of letters that I have sent to the Secretary of State and indeed a meeting that I had with Patrick McLoughlin to argue the case for more Government funding, particularly for the b-route network and more minor routes which are so prevalent in the county. Two weeks ago the Government announced the growth deals for the different areas across the country and I am extremely pleased to report that we have secured additional funding for highways maintenance amounting to almost £24m spread up until the financial year 2020/2021. This comes on top of the £5m the Government announced for the County Council early in the year and, of course, the £5m that we as a County Council said we would match that funding with.

The condition of the roads across North Yorkshire is a major priority. It is an achievement by this council to have secured this extra funding from Government. We are continuing to manage our financial position well, our 2013/14 outturn position demonstrated that we have been able to make further early savings and accordingly I will be looking again at what additional funding we, as a County Council, can put into this priority area.

### **LEP Growth Deal**

The additional funding for highways maintenance came as part of a wider package of investment into North Yorkshire, York and East Riding that was achieved by our Local Enterprise Partnership. The total funding amounts to £110m over 5 years from 2015. The LEP has the ambition to deliver an additional 4,000 jobs and to help partners deliver 5,000 new homes with this funding. Some of the highlights from the growth package that has been announced include major employment and housing developments at Catterick Garrison, Northallerton, Selby and in Scarborough.

JOHN WEIGHELL  
Leader of the Council

## The Report of the Executive

The Executive met on Tuesday, 27 May 2014 commencing at 11.00 am. County Councillor John Weighell in the Chair. County Councillors Arthur Barker, Gareth Dadd, Tony Hall, Don Mackenzie, Chris Metcalfe and Clare Wood.

The Executive met on Tuesday, 17 June 2014 commencing at 11.00 am. County Councillor John Weighell in the Chair. County Councillors Arthur Barker, Gareth Dadd, Carl Les, Don Mackenzie, Chris Metcalfe and Clare Wood.

Also in attendance: County Councillors Liz Casling, Jim Clark, Patrick Mulligan and Elizabeth Shields.

The Executive met on Tuesday, 8 July 2014 commencing at 11.00am. County Councillor John Weighell in the Chair. County Councillors Arthur Barker, Gareth Dadd, Carl Les, Don Mackenzie, Chris Metcalfe and Clare Wood.

Also in attendance: County Councillors John Clark., Robert Heseltine, Joe Plant, Mike Jordan and Elizabeth Shields.

**1. Revenue Budget Report 2013-14:** The Accounts of the County Council for 2013/14 have now been closed and are being finalised for external audit. The figures are therefore still provisional at this stage up to the Statutory Final Accounts being signed off by the External Auditor in September 2014. It is not envisaged however that any significant amendments will be required.

This 2013/14 outturn report continues the revised presentation to the way in which the Revenue Budget monitoring is reported which was introduced at Q1 in 2013/14.

The final revised 2013/14 net expenditure budget is £397,637k (unchanged from Q3) with the movement since the original net budget approved by Executive in February 2013 shown in **Appendix 1A** with a summary being

Item	£000
net budget requirement approved in February 2013	374,464
add funding shortfall met from GWB	+2,437
= initially approved net expenditure budget	376,901
Approved carry forwards from 2012/13	+22,736
Additional savings from 'Budget 2' approved by Executive on 9 July 2013	-2,000
<b>= final 2013/14 net expenditure budget</b>	<b>397,637</b>

A full analysis of this final 2013/14 Revenue Budget by Directorate is attached at **Appendix 1A** and shows for each Directorate:

- (a) the original Budgets approved by County Council in February 2013
- (b) carry forwards from 2012/13 approved by the County Council in July 2013
- (c) additional 2013 'Budget 2' savings agreed by County Council in July 2013
- (d) the transfer of Business Support Services budgets from Directorates to Central Services
- (e) the early achievement of One Council savings with these budget savings transferred to Corporate Miscellaneous
- (f) allocations from the PIP in 2013/14
- (g) various other budget transfers agreed during the year with key ones being as follows:
  - HAS £3m Demographic change budget being centralized in Corporate Miscellaneous and drawn down by HAS on a quarterly basis
  - Centralisation of some significant budgets from Directorates to Central Services, most notably ICT but a number of other smaller ones
  - Return of unutilised HAS inflation provision to Corporate Miscellaneous (2013/14 only)
- (h) the final budgets for each Directorate for 2013/4

A breakdown of this £397,637k final 2013/14 Revenue Budget at Directorate level is as follows:-

Directorate	Carry forwards from 2012/13 (assumed fully spent)	PIP allocations 2013/14	Re-curring Budget	Corporate PIP including carry forward from 2012/13	Overall total
	£000	£000	£000	£000	£000
HAS	1,170	232	138,579	-	139,981
BES	2,640	-	77,842	-	80,482
CYPS	1,824	-	79,759	-	81,583
CS	4,020	695	51,227	-	55,942
Corp. Miscl.	681	-	18,496	20,472	39,649
<b>Total budgets</b>	<b>10,335</b>	<b>927</b>	<b>365,903</b>	<b>20,472</b>	<b>397,637</b>

Total excluding Corporate PIP £377,165k

As indicated in the table in above the overall net revenue budget for 2013/14 brings together a number of different strands, each of which have their own dynamic. The 3 main components are:-

**a) Projects / initiatives carried forward from 2012/13**

One off underspends in 2012/13 that have been brought forward into the 2013/14 revenue budget to principally fund projects and initiatives that often span financial years, some of which will continue beyond 31 March 2014.

The carry forwards from 2012/13 totalling £10,335k were approved for 3 primary purposes:-

- (i) projects / initiatives that span financial years (£7,731k)
- (ii) to cashflow savings requirements in advance of the full delivery of the savings (£1,923k) and
- (iii) funds to support Second Homes Community Fund and Pay and Reward (£681k)

A change of reporting was made at Q1 in recognition that many projects / initiatives span financial years. All projects / initiatives funding that were carried forward from 2012/13 are being reported in this Revenue Outturn report but any "underspends" at the year end have been transferred to earmarked reserves and, for the purposes of this Revenue Budget report, are therefore shown as fully spent.

**b) The Pending Issues Provision (PIP) and the availability of 'one off' investment monies**

**c) The recurring operational budgets within Directorates in order to deliver day to day services**

The overall revenue outturn position of the County Council for 2013/14 is as follows:

Item	£000	£000
Revised Budget for 2013/14 ( <b>per Appendix A and paragraphs 5.6</b> )		397,637
- Net expenditure outturn 2013/14		
• charged against Directorate Budgets	360,095	
• charged against Corporate PIP	448	
• release of earmarked reserves into the General Working Balance (GWB) which has the impact of reducing in year spend ( <b>paragraph 5.37</b> )	-7,986	
		352,557
<b>= total variation against the 2013/14 final revised budget</b>		<b>-45,080</b>

The table above indicates that in total expenditure terms there is a year end cash variation of -£45,080k but this includes the impact of releasing earmarked reserves into the GWB (£7,986k) and the unspent Corporate PIP (£20,024k). After removing the impact of these

two items, the resulting bottom line saving against the 2013/14 budget is £17,070k made up as shown below:-

Item	2013/14 budget	2013/14 outturn	variation
	<b>£000</b>	<b>£000</b>	<b>£000</b>
Operational budgets			
- recurring ( <b>paragraph 5.17(a)</b> )	366,830	349,760	-17,070
- projects / initiatives ( <b>paragraph 5.17(a)</b> )	10,335	10,335	-
Sub –total	377,165	360,095	-17,070
Corporate PIP ( <b>paragraph 5.17(a)</b> )	20,472	448	-20,024
Release of earmarked reserves ( <b>paragraph 5.37</b> )	-	-7,986	-7,986
<b>Overall total</b>	<b>397,637</b>	<b>352,557</b>	<b>-45,080</b>

The table above identifies savings of £17,070k against operational budgets, the availability of £20,024k of 'one off' funding for investments from the PIP, plus the impact of releasing earmarked reserves of £7,986k into the GWB. The bottom line variation of -£45,080k therefore does not represent a fair reflection of the underlying and recurring budget position. In order to get below this bottom line it is necessary to consider the components that make up the operational budgets in particular – see the next table below.

Following the budget breakdown and the outturn position in, **Appendix 1B** provides an overall outturn variation position at Directorate level and the proposed treatment of the cash variations. It should also be noted that the budget includes savings requirements more detail of which is provided later in the report at page 29 and 30.

The table below is a summary of **Appendix 1B** and focuses on Directorate / Corporate operational budgets in terms of their outturn positions, cash variation and proposed treatment of that cash variation. It does therefore exclude the Corporate PIP and the impact of releasing earmarked reserves

Item	HAS	BES	CYPS	CS	Corp Misc. Budgets	Total
	£000	£000	£000	£000	£000	£000
<b>APPENDIX</b>	C	D	E	F	G	
<b>2013/14 OUTTURN VARIATION</b>						
2013/14 Revised Estimate	139,981	80,482	81,583	55,942	19,177	377,165
2013/14 Bottom line outturn	136,863	73,130	80,413	54,698	14,991	360,095
<b>OUTTURN VARIATION (- = SAVING, + = OVERSPEND)</b>	<b>-3,118</b>	<b>-7,352</b>	<b>-1,170</b>	<b>-1,244</b>	<b>-4,186</b>	<b>-17,070</b>
<b>ANALYSIS AND TREATMENT OF YEAR END VARIATION</b>						
<b>Earmarked for spending in future years and carried forward to 2014/15</b>	-900	-776		-256	-140	-2,072
Projects and initiatives for future years PIP						
• Allocations to be spent in future years				-263		-263
• Directorate savings recycled back to the PIP		-2,239		-460		-2,699
<b>Total proposed carry forward</b>	<b>-900</b>	<b>-3,015</b>		<b>-979</b>	<b>-140</b>	<b>-5,034</b>
<b>Savings not to be carried forward to 2014/15 and thus impacting on the GWB</b>						
Early achievement of Budget/MTFS savings	-620	-525	-1,042		-2,461	-4,648
HAS demography provision					-962	-962
Business Rates Relief Grant / other grant monies					-1,239	-1,239
HAS inflation contingency					-1,400	-1,400
Additional SFNY allocation					3,100	3,100
Other one off windfalls and savings net of overspends to be written off	-1,598	-3,812	-128	-265	-1,084	-6,807
<b>Total savings impacting on the GWB</b>	<b>-2,218</b>	<b>-4,337</b>	<b>-1,170</b>	<b>-265</b>	<b>-4,046</b>	<b>-12,036</b>
<b>TOTAL YEAR END VARIATION</b>	<b>-3,118</b>	<b>-7,352</b>	<b>-1,170</b>	<b>-1,244</b>	<b>-4,186</b>	<b>-17,070</b>
<i>Variation at Q3</i>	<i>-2,652</i>	<i>-5,433</i>	<i>-304</i>	<i>-1,147</i>	<i>-1,836</i>	<i>-11,372</i>

The Key points to note from the above table are

- a) A total saving of £5,034k earmarked for spending in future years which includes the following:-
- £900k to be carried forward within HAS to support the Start / reablement programme which was previously funded from the PIP
  - £776k in BES to be carried forward to support footbridge, street lighting and transformation initiatives
  - A re-profiling of £263k for ICT initiatives
  - The return of £2,699k to the PIP as this funding is no longer required, of which £2,239k relates to waste management
  - £396k on various projects and initiatives
- b) A total net saving of £12,036k (3.3% of the recurring budget or 1.7% when excluding savings in advance and the unanticipated government grants below) (1.3% of recurring operational budgets) which is not being proposed for carry forward to 2014/15 and will therefore increase the GWB consisting of:-
- £4,648k relating to the early achievement of Directorate Budget / MTFS savings
  - £962k relates to the unused element of the £3m HAS demographic growth contingency held in Corporate Miscellaneous
  - One off contribution towards SFNY project of £3,100k
  - £770k relating to the late notification of Government grants to compensate for reliefs offered to Business Rate payers and £469k in relation to the return of unused top slicing from the national revenue support grant pot (0.3% of recurring operational budgets).
  - £2,972k Highways savings principally on Winter Maintenance due to the weather conditions experienced in 2013/14
  - £1,400k part year saving on a HAS inflation provision which is required in full in 2014/15
  - Other net one off windfalls and savings across all Directorate and Corporate budgets totalling £3,915k
  - The additional contributions to GWB are welcomed given the highest level of financial risks being faced in the history of the County Council. Further details on the risks are identified later in Paragraph 5.30(b).

### Outturn Variances

Attached at **Appendices 1C to 1G** are statements for each Directorate setting out the final outturn position for their Directorate compared with the final revised budgets for 2013/14 with the following paragraphs highlighting the proposed year end treatment of the outturn variations.

#### (a) Health and Adult Services (Appendix 1C)

There is an outturn HAS saving of £3,118k (£2,652k at Q3), with the proposed year end treatment as follows:

Item	£000	£000
<b>Earmarked for spending in future years</b>		
For funding of the START/reablement programme previously funded through PIP monies		900
<b>One off windfalls and savings to be added to the GWB</b>		
Early achievement budget savings	620	
Other one off windfalls and savings	1,598	2,218
<b>Total cash variation (saving)</b>		<b>3,118</b>
<i>Memo item – Q3</i>		2,652

#### (b) Business and Environmental Services (Appendix 1D)

There is an outturn BES saving of £7,352k (£5,433k) at Q3, with the proposed year end treatment as follows:-

Item	£000	£000
<b>Earmarked for spending in future years</b>		
Essential footbridge works	300	
Maintenance to extend the life of the street lighting estate	200	
Support to 2020 North Yorkshire initiatives	100	
Other projects and initiatives	176	776
<b>PIP Underspends to be recycled back to the PIP</b>		
Waste Strategy		2,239
<b>One off windfalls and savings to be added to the GWB</b>		
Early achievement of Budget / MTFs Savings	525	
Other one off windfalls and savings	3,812	4,337
<b>Total cash variation (saving)</b>		<b>7,352</b>
<i>Memo items – Q3</i>		5,433



(c) **Children and Young People's Service (Appendix 1E)**

There is an outturn CYPS saving of £1,170k (£304k at Q3) with the proposed year end treatment as follows:-

Item	£000
<b>One off windfalls and savings to be added to the GWB</b>	
Early achievement of Budget / MTFS savings	1,042
Other one off windfalls and savings	128
<b>Total cash variation (saving)</b>	<b>1,170</b>
<i>Memo Item – Q3</i>	304

(d) **Central Services (Appendix 1F)**

There is an outturn Central Services saving of £1,244k (£1,147k at Q3) with the proposed year end treatment as follows:-

Item	£000	£000
<b>Earmarked for spending in future years</b>		
PIP funding for Technology and Change Management	263	
HR service initiatives	256	519
<b>PIP underspends to be recycled back to the PIP</b>		
STIC	393	
One Council Programme Director	67	460
<b>One off windfalls and savings to be added to the GWB</b>		
Net overall saving on all other Central Services budgets		265
<b>Total cash variation (saving)</b>		<b>1,244</b>
<i>Memo Item – Q3</i>		1,147

(e) **Corporate Miscellaneous (Appendix 1G)**

Corporate Miscellaneous consists of a mixture of budgets for recurring items (e.g. capital financing changes, interest earned and external audit fees) and funds of a non-recurring nature such as the PIP.

Because of the nature and size of the PIP, this is being reported separately within **Appendix 1G** and in the next tables, with the overall position being as follows:-

Item	Corporate Miscellaneous Budgets	PIP	Release of earmarked reserves	Total
	£000	£000	£000	£000
Final 2013/14 Budget	19,177	20,472	-	39,649
Outturn	14,991	448	-7,986	7,453
<b>Total cash variation (saving)</b>	<b>4,186</b>	<b>20,024</b>	<b>7,986</b>	<b>32,196</b>

The PIP is fully reported in later sections along with the Release of Earmarked Reserves.

Of the remaining Corporate Miscellaneous budgets, the table above indicates an outturn saving of £4,186k, (£1,836k at Q3) with the proposed year end treatment as follows:-

Item	£000	£000
<b>Earmarked for spending in future years</b>		
Community Fund Projects	15	
Pay and reward fund	125	140
<b>Savings to be added to the GWB</b>		
Accelerated One Council Savings ( <b>Paragraph 5.47b</b> )	2,461	
HAS Demographic provision saving	962	
Contribution to SFNY Project	- 3,100	
Business Rates Relief Grant	770	
HAS inflation provision	1,400	
Treasury Management savings	396	
Unspent central contingency	250	
DCLG Capitalisation top slice grant	469	
Other savings (net)	438	4,046
<b>Total cash variation (saving)</b>		<b>4,186</b>
<i>Memo Item – Q3</i>		<i>1,836</i>

### PENDING ISSUES PROVISION (PIP)

The PIP was set up in 2008/09 as part of the MTFs process, to underpin a financial strategy that would ensure sufficient recurring funds are available in future years to meet the predicted year on year costs of the Waste Strategy. In addition to providing long term recurring funding for the Waste Strategy, the funding paid into the PIP but not yet drawn down by the Waste Strategy is available to fund non-recurring items, with significant sums having already been allocated by the Executive.

Full details of the PIP were reported as part of the Revenue Budget 2014/15 and Medium Term Financial Strategy 2015/16 approved by Executive on 4 February 2014 and County Council on 19 February 2014. As mentioned in that report, since the PIP was first

created its use has been widened both in terms of the sources of contributions paid in and the range of allocations paid out.

The current and projected position on the PIP for 2013/14 and subsequent years reflecting allocations agreed by the Executive to date, together with the latest forecast sums required to fund the Waste Strategy, is as follows:-

**(a) Corporately held PIP Budget in 2013/14**

Item	£000	£000
Final Corporately held PIP budget		
• base budget approved in February 2013		8,340
• unallocated funding brought forward from 2012/13		10,251
• Microsoft transition project funding brought forward from 2012/13 not ultimately needed (2,150 earmarked less 19 spent)		2,131
• Allocations to Directorates in 2013/14		
NYCC website	-18	
HAS FACS resources	-135	
HAS Extra Care procurement	-97	-250
= final corporate PIP budget		20,472
- BES Tour de France costs charged directly against PIP		-448
<b>Total 2013/14 outturn cash saving</b>		<b>20,024</b>

There is outturn cash saving of £20,024k in the Corporately held PIP at 31 March 2014. In addition however, as identified in the **Appendix 1B** and earlier in the report, there are further Directorate savings to be returned to the PIP which increases the total available funding in 2014/15 as follows:-

Item	£000	£000
Unallocated funding as above		20,024
Underspends in 2013/14 to be recycled		
• Waste Strategy (BES)	2,239	
• Central Services STIC and One Council Programme Director (CS)	460	2,699
<b>Total unallocated PIP funding at 2013/14 outturn</b>		<b>22,723</b>

**(b) Overall longer term PIP position**

The table above indicates there is potentially £22,723k unallocated funding remaining in the PIP at the end of 2013/14. The longer term position to 2018/19 is as follows:-

Item	2013/14	2014/15	2015/16	2016/17	2017/2018	2018/19
	£000	£000	£000	£000	£000	£000
<b>Funding available</b>						
Initial budget allocations	14,394	14,394	14,394	14,394	14,394	14,394
+ Subsequent inflationary increases	5,356	6,856	8,356	9,856	11,356	11,356
- Allocations to Waste Strategy	-10,392	-12,812	-14,628	-15,828	-20,928	-24,673
+ Funding carried forward from 2012/13						
• Corporate miscellaneous	9,146					
• BES Waste Strategy	1,077					
• HAS	28					
= Funding available	<b>19,609</b>	<b>8,438</b>	<b>8,122</b>	<b>8,422</b>	<b>4,822</b>	<b>1,077</b>
- Allocations previously agreed by Executive to 31 March 2013 including some re-phasing between years	-1,018	-1,380	-1,964	- 997	0	
- New allocations in 2013/14						
• Resource to develop NYCC website	-18					
• Resources for HAS to support delivery of savings proposals relating to Review of Fair Access to Care Standards and Review of Fairer contributions Policy (Executive 9 July 2013)	-135	-1,015				
• Oracle (Executive 20 August 2013)		-600				
• HAS Extra Care Procurement (Executive 30 July 2013)						
Develop Business Case	-97	-403				
Earmarked for procurement	-448	-2,500				
• Tour de France Revenue costs (net of indicative Government funding) (£2.2m) (Executive 20 August 2013)		-259	-137			
• Graduate posts (Executive 19 November 2013)						
• Microsoft underspend (2,150 – 19 spent)	2,131					
• Return from BES waste strategy	2,239					
• Central Services PIP underspends	460					
• Pump priming etc. for 2020 North Yorkshire (Exec 4 February) totaling £12.8m over a 3 year period		-4,400	-4,400		-	
				4,000		
<b>Projected PIP funding still available</b>	<b>22,723</b>	<b>-3,871</b>	<b>1,621</b>	<b>3,425</b>	<b>4,822</b>	<b>1,077</b>

total available in 2014/15

18,852

total available to 2018/19

29,797

Based on the allocations and earmarked provisions set out in the table above there is therefore potentially £29,797k remaining available for one off issues in the period to 2018/19 (£29,320k at Q3). This is until such time as the Waste Strategy draws down its full and final requirement, currently scheduled for 2018/19.

It is also important to bear in mind when considering the availability of PIP funding that the funds for each year making up the totals as shown above do not actually exist until the relevant financial year.

Although there are no other firm PIP bids to reflect in this 2013/14 outturn report, future bids are likely to be forthcoming. These will particularly relate to requests for additional capacity to cope with the unprecedented level of change and / or schemes with an “invest to save” approach.

#### SUMMARY OF YEAR END VARIATION AND ITS PROPOSED TREATMENT

**Appendix 1B** shows in total and at Directorate level, the various components and proposed year end treatment making up the bottom line saving of £45,080k. This can be summarized as follows:-

Item	£000	£000
<b>Savings to be carried forward to future years (paragraph 5.12)</b>		
• Projects and initiatives	2,072	
• PIP funding to be carried forward	263	
Allocated funding	2,699	
Directorate savings to be recycled back to the PIP	20,024	
• Corporate PIP unallocated funding		25,058
<b>Savings not proposed to be carried forward and therefore transferred into the GWB</b>	12,036	
• One off budget windfalls and savings (para 5.12)		
• Release of earmarked reserves into GWB (para 5.37)	7,986	20,022
<b>Total saving including release of earmarked reserves</b>		<b>45,080</b>

The proposed carry forward of £25,058k analysed by Directorate is as follows: **(Appendix 1B)**

Directorate	£000	£000
HAS		900
BES		
- Total	3,015	
- PIP underspend returned to Corporate Miscellaneous	-2,239	776
CYPS		0
Central Services		
- Total	979	
- PIP underspend returned to Corporate Miscellaneous	-460	519
Corporate miscellaneous		
- Total Corporate miscellaneous budgets	140	
- returned PIP from BES	2,239	
- returned PIP from Central Services	460	
- Corporate PIP	20,024	22,863
<b>Total proposed carry forward for 2014/15</b>		<b>25,058</b>

As shown in the table above the total net saving not to be carried forward to 2014/15 and therefore transferred into the GWB in 2013/14 is £20,022k.

### GENERAL WORKING BALANCE (GWB)

A key feature of the Revenue Budget 2014/15 and Medium Term Financial Strategy 2015/16 approved by the Executive and County Council in February 2014 is a policy to maintain the GWB at a defined minimum acceptable level.

The previous policy target for the level of the GWB to be a minimum of 2% of the net Revenue Budget of the County Council was changed as part of the 2014/15 Revenue Budget / 2015/16 MTFs approved by Executive and County Council in February 2014. The new target is as follows:-

- (a) maintenance of a minimum of 2% of the net revenue budget for the GWB in order to provide for unforeseen emergencies etc. supplemented by
- (b) an additional (and reviewable) cash sum of £20m to be held back in the event of a slower delivery of savings targets and reflects
  - (i) the increased number of risk factors which the County Council is facing as set out in **(Appendix 1J)** of the 2014/15 Budget report and in particular
  - (ii) Savings targets not being delivered on time and
  - (iii) The increased level of risk falling on the GWB resulting from the review and consequential release of earmarked reserves into the GWB (i.e. some of the risks which have been covered by these reserves will now fall on the GWB)

A statement of the GWB at 31 March 2014 reflecting the draft outturn position is attached as **Appendix 1H** with a summary being

Item	£000
Balance at 31 March 2013	56,602
+ total funding income received in year	+374,446
- net expenditure by outturn in 2013/14 ( <b>Appendix B and paragraph 5.8</b> )	-352,557
<b>Total General Working Balance at 31 March 2014 (part 1 of Appendix H)</b>	<b>78,491</b>
Less: Proposed earmarked carry forwards to 2014/15 ( <b>paragraph 5.22</b> )	-25,058
<b>= GWB at 31 March 2014 (part 2 of Appendix H) not earmarked</b>	<b>53,433</b>
Minimum of 2% of net revenue budget + £20m ( <b>paragraph 5.25</b> )	-27,489
<b>GWB at 31 March 2014 in excess of minimum holdback</b>	<b>25,944</b>

The overall GWB of £78,491k includes the Corporate/Directorate savings in 2013/14 proposed for carry forward. For financial planning purposes, it is assumed that the GWB will be reduced by the consumption of these carry forwards as they are in effect earmarked balances. The overall level of remaining GWB at 31 March 2014 after adjusting for these proposed carry forwards is therefore £53,433k (part 2 of **Appendix 1H**) which reduces to £25,944k above the new minimum level (£27,489k) set as part of the 2014/15 budget/MTFS process.

This £53,433k level of remaining GWB at 31 March 2014 represents an increase of £11,155k compared to the projected figures reported during the 2014/15 Budget/MTFS process (£42,278k) and an increase of £4,750k compared to the projection reported at Q3 (£48,683k). An analysis of the reasons for the increase compared with Q3 are identified in **Part 3 of Appendix 1H**.

Based on **Appendix 1H** the forecast level of the General Working Balance up to 31 March 2016 is as follows:

Item	£000	% of Net Revenue Budget
<input type="checkbox"/> actual free balances at 31 March 2014	53,433	14.3
<input type="checkbox"/> estimated at 31 March 2015	52,111	14.0
<input type="checkbox"/> estimated at 31 March 2016	50,206	14.0

It should be noted, however, that this forecast assumes delivery of savings in line with estimates and no significant adverse financial impacts. Whilst this is a reasonable planning assumption, the degree of risk is significantly higher, particularly during 2015/16 when the savings target is the highest since the first year of austerity.

The 2013/14 outturn position on the GWB and forecasts up to 31 March 2016 as shown in **Appendix 1H** and summarised in the table above, do show the GWB at a higher level than forecast. The Revenue Budget 2014/15 and MTFS for 2014/15 approved in February 2014 does, however, identify the need for the continuing healthy level of GWB in 2013/14 and subsequent years. In particular the following points should be borne in mind:

- (a) The GWB will be required to fund budget /MTFS funding shortfalls each year in terms of:-
- £1.3m in 2014/2015 and £1.9m in 2015/16 agreed in February 2014 as part of the revenue budget and MTFS proposals for those years
  - In the longer term MTFS 2016/17 to 2018/19 annual contributions will be required from the GWB to cover residual funding gaps after reflecting the level of savings achieved each year
  - The impact of savings targets not being delivered on time in any years from 2014/15
  - In addition the longer term MTFS up to 2018/19 approved in February 2014 still reflected an £11.4m shortfall (£73.4m funding shortfall less £62m savings proposals) which will need to be found from further savings and /or the GWB
- (b) The many risks that the County Council face that could impact on the GWB which include (see **Appendix 1J** – extract from 2014/15 Budget/MTFS report which also seeks to quantify the potential magnitude of the risks with just one of these risks above estimated at £27m)
- weather uncertainties
  - demand for services
  - one off planning enquiries or legal cases
  - additional spending pressures
  - greater reductions in Government funding than anticipated
  - assumptions on Council Tax yield
  - Cost pressures from other agencies
  - Unplanned incidents / emergencies
  - Inflation and pay levels
  - Interest rates variations
  - Pension fund
  - Level of business rates collected by North Yorkshire Districts



The County Council has adopted a set of 'good practice rules' as part of its MTFS which is to achieve and maintain a policy target of 2% of the net Revenue Budget of the County Council, plus an additional £20m to be held back in the event of a slower delivery of savings targets.

These good practice rules are as follows:

- (a) that any under spending on the Corporate Miscellaneous budget at the year end should be allocated to the GWB
- (b) that should there be any call on the GWB during a year such that the MTFS targets will not be achieved at the respective year ends then:
  - (i) that shortfall be addressed in the next Budget cycle and/or
  - (ii) that revenue or capital expenditure reductions be effected in either the current or following financial year, in order to offset the shortfall
- (c) that in order to implement (b) the Executive should review the position of the GWB on a regular basis as part of the quarterly budget monitoring report process.

Following the 2014/15 MTFS and Revenue Budget approved by Executive and the County Council in February 2014, the projected levels / targets are as follows:

Year	MTFS projection	% of net revenue budget	Minimum level of 2% of Net Revenue Budget +20m
	£000	%	£000
31 March 2014	42,278	11.3	27,489
31 March 2015	40,956	11.0	27,460
31 March 2016	39,051	10.9	27,183

In relation to the rules set out in above:

- items (a) and (c) are reflected in this report
- in relation to (b), the projected forecast target for 31 March 2014 following the 2014/15 Revenue Budget / 2015/16 MTFS report is £42,278k with an absolute minimum of £27,489k based on 2% of the net revenue budget + £20m
- the 2013/14 outturn position of £53,433k is therefore £11,155k above the forecast target as a result of additional outturn savings. This improvement is to be welcomed given the increased future risk levels etc. highlighted.

## EARMARKED RESERVES

In addition to the GWB and the Directorate and Corporate savings carried forward, the County Council also holds a number of other earmarked reserves. These represent funds that have been set aside for a specific initiative or liability and, therefore are not available to fund recurring costs that would otherwise be part of the Base Budget of a service.

Since the introduction of International Financial Reporting Standards (IFRS) to local authority accounts in 2010/11 there is also a category of revenue income reserves consisting of grants and contributions which have to be fully recognised in the revenue account when any conditions attached to the grant / contribution have been generally met. This is different to the previous practice of carrying forward unspent grant / contributions income to the following year as income in advance. At 31 March 2014 £29.1m (£22.8m at 31 March 2013) of unspent grant and contributions income, where any conditions have generally been met, is being carried forward to 2014/15 in these reserves.

A mid-year review of all earmarked reserves was undertaken by the Corporate Director – Strategic Resources with the outcome being reported to Executive in November 2013 in the revenue budget section of the Q2 Performance and Budget Monitoring report. In summary the nature and level of many of these reserves could be fully justified but Directorates agreed to release reserves (either in full or part) totaling £7,986k to the GWB immediately and there was potential for the further release at the end of 2013/14 when further details of commitments were known or during 2014/15 when appropriate levels could be better established.

At this stage therefore, the further review referred to above will be undertaken in 2014/15 with the outcome being reported to Executive as soon as possible. There has been no further release of earmarked reserves into the GWB reflected in the 2013/14 accounts, over and above the £7,986k reported at Q2.

**Appendix 1I** shows the overall position of all the County Council's reserves (GWB + earmarked reserves), both at the start and end of the 2013/14 financial year, together with the forecast submitted to Executive on 4 February 2014 as part of the 2014/15 Budget and MTFS report.

A summary of the increase in the level of reserves of £33.7m (including the GWB) between 31 March 2013 (£157.9m) to 31 March 2014 (£191.6m) is as follows:

Reserve	31 March 2013	31 March 2014	2013/14 variation
<b>GWB</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>
Directorate underspends carried forward	23.3	25.1	+1.8
Free General Working Balance	33.3	53.4	+20.1
Sub-total	56.6	78.5	+21.9
<b>Earmarked for schools</b>			
Schools balances (LMS reserve)	26.3	30.9	+4.6
Schools block / DSG	10.8	11.0	+0.2
Sub-total	37.1	41.9	+4.8
<b>Other Earmarked Reserves</b>			
Winter Services	2.1	0	-2.1
Insurance Reserve	6.9	6.9	0
Corporate redundancy reserve	1.3	0.7	-0.6
Redundancy costs in schools	3.1	1.1	-2.0
CYPS Special education needs	1.4	1.0	-0.4
ICT SDT / Directorate refresh	2.7	2.8	+0.1
ICT – Strategy and infrastructure	2.6	3.5	+0.9
Trading unit accumulated surpluses	6.4	4.9	-1.5
CYPS service transformation	1.6	0.6	-1.0
HAS supporting people	1.6	2.4	+0.8
BES Civil Parking Enforcement	3.1	4.0	+0.9
CYPS special projects	0	1.1	+1.1
SFNY contribution	0	3.1	+3.1
Other smaller reserves	8.6	10.0	+1.4
Sub-total	41.4	42.1	+0.7
<b>Revenue income reserves (mainly grants and contributions) (paragraph 5.39)</b>			
CYPS	1.8	3.5	+1.7
BES	2.1	4.1	+2.0
HAS	1.8	1.5	-0.3
HAS health funding	13.9	17.9	+4.0
Central Services	0.2	0.2	0
LAA performance reward grant	3.0	1.9	-1.1
Sub-total	22.8	29.1	+6.3
<b>= Total reserves (GWB + earmarked)</b>	<b>157.9</b>	<b>191.6</b>	<b>+33.7</b>

In addition to these earmarked reserves there are also provisions of £7.6m (excluding non-cash backed technical provisions) at 31 March 2014 compared with £10.8m at 31 March 2013. These consist of –

- Insurance claims £4.0m (£4.8m at 31 March 2013)
- Highways advance payments £2.4m (£1.7m at 31 March 2013)
- Other provisions £1.2m (£4.3m at 31 March 2013)

The Local Government Act 2003 and associated CIPFA guidance requires a formal review, and regular report as part of the budgetary control process on the level and adequacy of reserves, balances and provisions. These requirements were incorporated into the 2014/15 Budget / MTFS report submitted to Executive on 4 February 2014. **Appendix 1I** continues this process. In addition preceding paragraphs above refer to the mid-year review of all earmarked reserves undertaken in 2013/14 with a further review to be carried out in 2014/15.

### BUDGET/MTFS SAVINGS

The 2013/14 revenue budget reflects previously agreed savings targets and these are incorporated into Directorate 'final 2013/14 budget control totals' shown **Appendix 1A**. These 2013/14 savings targets (which are in addition to savings targets reflected in previous year's budgets) total £19,237k, consisting of:-

Item	£000
February 2011 (2011/12 budget/ MTFS) savings phased in 2013/14	8,186
Less subsequent adjustments and re-phasing	-269
	7,917
February 2013 (2013/14 budget /MTFS) savings phased in 2013/14	9,320
July 2013 (2013/14 budget 2) additional savings from 2012/13 outturn position	2,000
<b>Total savings targets reflected in 2013/14 final budget</b>	<b>19,237</b>

The outturn positions for each Directorate that are presented in this report reflect any net under or over achievement of the individual elements of the above savings targets with details being provided in **Appendices 1B to 1G**.

The budget savings profile included a target of £7,644k to be found from the One Council Change Programme. In the February 2013 Revenue Budget / MTFS this target was spread over the two year period 2013/14 (£4,018k) and 2014/15 (£3,626k). The £4,018k for 2013/14 is therefore part of the overall 2013/14 savings targets referred to above

The position based on savings declared and agreed to the end of 2013/14 is shown below although there are still other workstream savings in the pipeline but these have not been formally agreed and signed off yet. An update will continue to be provided in future 2014/15 Q reports.

Item	2012/13	2013/14	2014/15	Total
<b>Target</b>	£000	£000	£000	£000
Original MTFS target	0	3,596	4,048	7,644
Re-phasing in 2013/14 budget / MTFS		422	(422)	-
Reduced target reflected in 2014/15			(500)	(500)
Revenue budget		4,018	3,126	7,144
<b>Savings achieved to date</b>				
Procurement and contract management	560	3,036		3,036
Customer Access	100	425		425
Business Support	311	1,300		1,300
HT training and workforce planning	186	346		346
Systems and data	100	108	47	155
Financial Management	76	215		215
Strategic Core	100	100		100
Management and supervision	404	824	152	976
Property management		125		125
Total achieved	1,837	6,479	199	6,678
(Surplus) or still to find	<b>(1,837)</b>	<b>(2,461)</b>	<b>2,927</b>	<b>466</b>

Key points from the above table are as follows

- Savings totaling £1,837k were achieved in 2012/13 ahead of their requirement in 2013/14 and 2014/15 and were paid into the GWB in that year.
- For 2013/14 total savings of £6,479k were achieved (including £1,837k in 2012/13) and exceed the final £4,018k target by £2,461k. Early progress in achieving workstream savings is again welcome as it provides a further one off saving that is being paid into the GWB in 2013/14
- The overall position indicates that workstream savings totaling £466k still have to be achieved by the end of 2014/15 and removed from Directorate Budgets to clear the residual savings target. At this stage it is envisaged that this level of savings will be fully achieved during 2014/15.

#### STATEMENT OF FINAL ACCOUNTS 2013/14

This Revenue Outturn report together with the accompanying Capital Outturn and Treasury Management reports are based on the County Council's organisational and budgetary management arrangements. However a comprehensive Statement of Final Accounts (SoFA) that conforms in format and content to the IFRS based statutory Code of Practice on Local Authority Accounting is required to be formally approved by Members and certified by the External Auditor.

The current statutory requirements (Accounts and Audit Regulations 2011) are that the SoFA is certified by the Section 151 (Chief Finance) Officer by 30 June each year and approved by Members in advance of the External Audit opinion and certificate and publication of the accounts by 30 September each year.

Responsibility for considering and approving the County Council's SoFA rests with the Audit Committee. Following completion of the External Audit in July and August 2013, the final SoFA will therefore be submitted to the Audit Committee for approval on 25 September 2014, immediately before the External Auditor presents his opinion and certificate in relation to the SoFA.

Although there is no longer a requirement for the draft/unaudited SoFA to be approved by Members by 30 June each year, the DCLG and CIPFA suggest that good practice would be for the draft SoFA still to be presented to Members for review and comment prior to External Audit.

As in recent years, the County Council's draft SoFA for 2013/14 will be submitted to the Audit Committee on 17 July 2014 but this will be for review and comment only, not formal approval. The final SoFA after External Audit will subsequently be resubmitted to the Audit Committee on 25 September 2014 for formal approval.

The statutory SoFA that will be submitted to the Audit Committee for information on 17 July 2014 and for subsequent approval on 25 September 2014 after External Audit, will be markedly different to the management accounts presented in this report (i.e. the service outturn figures reported at **Appendix 1B**). This is because of two key reasons

- (a) CIPFA's required service structure is very different to the County Council's organisational structure. The service structure in the SoFA must follow the Service Reporting Code of Practice (SeRCOP) – which was formally known as the Best Value Accounting Code of Practice (BVACOP)
- (b) the SoFA must comply with CIPFA's IFRS based Code of Practice on Local Authority Accounting (successor to the old SORP) thereby requiring many technical adjustments to our management accounts for such items as depreciation of assets and pensions costs and liabilities

Explanations as to the key differences between the two sets of figures will be provided to the Audit Committee.

## LOOKING AHEAD

Based upon current estimates it is expected that a bottom line net saving will be achieved in 2014/15 subject to any significant financial "hits" and / or Council investments which are currently unplanned within the revenue budget with the first Q1 update to be submitted to Executive in August 2014. The reasons for this expected saving is because of the following.

- Several additional grant allocations for 2014/15 being announced unexpectedly by the Government after the 2014/15 Budget and 2015/16 MTFs was agreed in February 2014.
- The further review of all earmarked reserves is expected to result in further release of such reserves into the GWB.
- The possible continued early achievement of MTFs budget savings targets by Directorates.

- The Corporately held provision to fund the additional cost of the employers contribution to the Local Government Pension Scheme from 1 April 2014 ultimately being more than required following the conclusion of the Triennial Valuation.
- It is considered unlikely that the unallocated £18,852k PIP funding available in 2014/15 will be fully allocated and spent in 2014/15. (It should be noted, however, that this is due to the one off carry forward of £22.7m from the PIP rather than a recurring underspend)

Based upon the above it is intended that the Executive considers potential investment needs. Such needs may include re-profiling of some savings targets given the high level nature of targets at this stage, or there may be “invest to save” opportunities. Such opportunities will be reported to and considered by the Executive on an on-going basis.

Beyond 2014/15 however, the scenario is very different because of the many risks and uncertainties that surround the MTFS together with the MTFS agreed in February 2014 not fully identifying the full funding shortfall forecast up to 2018/19.

Potential risks and uncertainties were fully set out in the February 2014 budget / MTFS report (**Appendix 1J**) with key ones listed earlier in this report. These risks and uncertainties arise from both funding (government grants, council tax, business rates yield etc.) and the County Council’s spending and other income streams (delivery of savings targets, demand for services, inflation and cost pressures, weather uncertainties, unexpected one off liabilities etc.).

In relation to the longer term MTFS up to 2018/19 approved in February 2014, there is still a £11.4m shortfall (£73.4m funding shortfall less £62m savings proposals) which will need to be found from further savings and / or the GWB.

An update on the above in relation to 2014/15 will be provided to Executive as part of the Q reports and any significant developments that affect 2015/16 and subsequent years will also be reported to Members. A detailed update on the position for 2015/16 and subsequent years, including progress on achieving saving targets and the current longer term shortfall will be incorporated into the 2015/16 Revenue Budget / MTFS process.

## **BETTER CARE FUND**

As reported to the Executive on 4 February and Full County Council on 19 February 2014, discussions were on-going with the North Yorkshire Clinical Commissioning Groups to prepare plans for use of the Better Care Fund (BCF) in North Yorkshire. Those discussions progressed over a number of months and the final plan was subsequently approved by the Health and Wellbeing Board on 1 April 2014.

**Appendix 1K** provides a summary of the financial plans of the North Yorkshire BCF. It identifies £28.2m of BCF schemes in 2014/15 and £39.8m of schemes in 2015/16 although it is important to note that this may change over time. Spending on the BCF is effectively a pooled budget arrangement between Health and the County Council. This spend in 2015/16 includes £17m on Social Care Protection which is an increase of £10m from 2014/15.

Further work will be carried out through the Health and Wellbeing Board but it is envisaged that the Plan will be incorporated into the County Council's 2015/16 Budget in February 2015. In the meantime, however, it is necessary for the County Council to approve the BCF Plan in line with the decision of the Health and Wellbeing Board.

**The Executive RECOMMENDS:**

- (a) That the position on the draft revenue outturn and General Working Balance for 2013/14 as detailed on pages 13, 23 to 26 be noted
- (b) That the County Council approve the proposed carry forward of unspent budgets at Corporate and Directorate level totalling £25,058k as detailed on pages 22 and 23.
- (c) That the position on other earmarked reserves as detailed on pages 27, 28 and 29, and at **Appendix 1I** be noted
- (d) That the procedure that will be followed by the Audit Committee in respect of the approval of the statutory Statement of Final Accounts (as described on pages 31 and 32) be noted.
- (e) The approval of the North Yorkshire Better Care Fund as approved by the Health and Wellbeing Board on 1 April 2014 (detailed on pages 32 and 33 and at **Appendix 1K**)

**2. Capital Plan:** The original Capital Plan for 2013/14 was submitted to and approved by Executive on 26 February 2013 as part of the 2012/13 Q3 Performance and Monitoring Report. The latest (i.e. revised and updated) 2013/14 Plan was submitted to and approved by Executive on 4 February 2014 and subsequently by County Council on 19 February 2014 as part of the 2014/15 budget/MTFS set of reports.

The movement in the Capital Plan for 2013/14 between February 2013 and February 2014 which reflects all the in year changes reported to Executive throughout the year as part of the quarterly Performance Monitoring Reports (Q1 in August 2013, Q2 in November 2013 and Q3 in February 2014) can be analysed as follows:-



Item	£m	£m
<b>Gross Spend of Capital Plan approved on 26 February 2013</b>		<b>93.1</b>
<b>Rephasing of expenditure between years</b>		
(i) net underspend in 2012/13 rolled forward to 2013/14		1.3
(ii) self funded variations in 2012/13 rolled forward to 2013/14		
BES structural maintenance of roads	1.5	
CYPS Devolved capital grant	-1.8	
CYPS School self help schemes	-1.8	-1.2
Other	0.9	
(iii) 2013/14 rephasing which is self funded from grants and contributions		
BES structural maintenance of roads		
CYPS Devolved capital grant	-2.1	
CYPS Capital planned maintenance	2.9	
BES Regional funding allocation	3.1	
Central Services ICT programmes	-1.4	
Other	-1.8	
	-4.1	-3.4
(iv) 2013/14 rephasing funded from borrowing and capital receipts		
HAS Older people's resource centre	-2.0	
BES structural maintenance of roads	-3.4	
CYPS Capital planned maintenance	-1.8	
CYPS Major capital projects –High Bentham	-1.8	
Central Services bright office strategy	-1.5	
Other	-2.1	-12.6
<b>Other variations in schemes self funded from grants and contributions</b>		
BES Structural maintenance of roads	4.2	
CYPS self help schemes	3.6	
Central Services Microsoft project	-1.4	
Other	2.7	9.1
<b>Other variations from Prudential Borrowing etc.</b>		
Loans to limited companies	4.9	
Other	0.1	5.0
<b>Total variations</b>		<b>-1.8</b>
<b>= latest Capital Plan approved by Executive on 4 February 2014 and County Council on 19 February 2014</b>		<b>91.3</b>

All the above in year variations reported to Executive as part of the 2013/14 Q1, Q2 and Q3 reports do not feature as part of this year end outturn report which compares the final 2013/14 capital outturn position with the last (Q3) Capital Plan update in February 2014.

Capital expenditure in 2013/14 compared to the Capital Plan figures as referred to above is as follows –

Item	Gross Capital Spend	Less Capital Grants and Contributions	Net Capital Spend
	£m	£m	£m
<b>Original Capital Plan</b> (26 February 2013) (a)	93.1	74.5	18.6
<b>Latest Capital Plan</b> (4 February 2014) (b)	91.3	78.4	12.9
<b>Outturn for 2013/14</b> (c)	<b>84.0</b>	<b>73.1</b>	<b>10.9</b>
Original plan (a - c)	-9.1	-1.4	-7.7
Latest plan (b - c)	-7.3	-5.3	-2.0

The 2013/14 gross capital spending of £84.0m therefore represents a £7.3m underspend compared with the last Q3 Capital Plan update of £91.3m. As indicated above, the outturn variations referred to throughout this report (£7.3m underspend above) **Appendices 2B to 2E** are based on a comparison with the last Q3 2013/14 Capital Plan Update reported to Executive in February 2014.

A summary of the outturn position, is set out below for gross capital spend at Directorate level with a more detailed summary being provided in **Appendix 2A** and an individual statement for each Directorate at **Appendices 2B to 2E**. These Appendices also show the outturn position for related capital income from grants, contributions and revenue contribution, and a net spend which is funded from capital receipts and borrowing.

Service	Appendix	Gross Latest Plan (Feb 2014)	Gross Outturn Spend	Variation to Latest Plan
		£m	£m	£m
Health and Adult Services	B	4.5	4.5	0
Business and Environmental Services	C	48.5	44.3	-4.2
Children and Young People's Services	D	30.0	29.1	-0.9
Central Services	E	8.3	6.1	-2.2
<b>Total</b>		<b>91.3</b>	<b>84.0</b>	<b>-7.3</b>

A summary of the main outturn variations are shown in the table below however in terms of

- (a) a gross capital underspend of £7.3m (Capital Plan of £91.3m/outturn of £84.0m) as indicated above and in first column of the following table.
- (b) a shortfall in Directorate capital income of £5.3m (Capital Plan of £78.4m/outturn of £73.1m) as indicated above and in the second column of the following table.
- (c) the resulting £2.0m net capital underspend which effectively means there is less funding required than planned from capital receipts and borrowing (third column of the following table).

Item	Variation	Grant/ Contributions Variation	Net Spend Variation
	£m	£m	£m
<b>Health and Adult Services</b>			
No significant variations	0	0	0
<b>Business and Environmental Services</b>			
New and Replacement Road Lighting – Progression on the programme	-0.5	+0.5	0
Rationalisation of Depots – Contribution of expenditure slipping to 2014/15 on Leeming Bar and expenditure on salt clamps being less than anticipated	-0.3	+0.1	-0.2
Local Transport Plan – Schemes brought forward from 2014/15 into 2013/14	+1.5	0	+1.5
Integrated Transport (LTP) – Re-phasing of schemes to be undertaken in 2014/15	-0.4	+0.4	0
Detrunking Works (LTP) – Re-phasing of schemes to be undertaken 2014/15	-1.8	+1.8	0
LEP growing Placed Fund – slower than anticipated of loan to Environment Agency for flood defences in Skipton	-2.0	+2.0	0
Local Sustainable Transfer Fund – Delays to the programme mainly due to poor weather conditions and works being delayed to accommodate worked required for the Tour de France	-1.1	+1.1	0
Beadle-Aiskew-Leeming Bar Major Scheme – Expenditure was igher than anticipated for 2013/14 largely as a result of the purchase of Holmefield Farm	+0.6	-0.6	0
Other Schemes	-0.2	+0.2	0
	<b>-4.2</b>	<b>+5.5</b>	<b>+1.3</b>
<b>Children and Young People's Service</b>			
<b>CYPS Management Projects</b>			
Health and Safety – capital Maintenance Grant utilised fully across areas of the Children and Young People capital programme	0	+0.5	+0.5
Major Cpaital Projects (High bentham – Progression is slower than anticipated causing the expenditure to be re-profiled	-0.8	+0.1	-0.7
Capital Planned Maintenance – expenditure from 2014/15 has been brought forward as the scheme progresses well	-0.3	+0.3	0
Woodfield development – On-site issues have delayed the completion dated causing expenditure to slip to 2014/15	-0.9	0	-0.9
TCU Replacements – Schemes progressing ahead of schedule causing expenditure from 2014/15 to be brought forward	+0.6	-0.6	0
Other schemes	-0.2	+0.3	+0.1
	-0.1	0	-0.1

Item	Gross Spend Variation £m	Grant/ Contribution Variation £m	Net Spend Variation £m
<b>School Managed Projects</b>			
Devolved Capital Grant – Schools expenditure was higher than anticipated for 2013/14	+0.3	-0.3	0
Self Help Schemes – Schools expenditure in 2013/14 was higher than expected	-0.2	+0.2	0
	+0.1	-0.1	0
<b>CYPS Total</b>	<b>-0.9</b>	<b>-0.1</b>	<b>-1.0</b>
<b>Central Services</b>			
Affordable Housing Funding – Rephasing of expenditure due to delays in completion	-0.2	0	-0.2
Carbon Reduction Scheme – the number of school applications was higher than anticipated	+0.7	-0.7	0
Loans to Limited Companies – NYnet balance lower than forecast	-1.6	0	-1.6
Revenue Funded ICT Projects – Net effect of slippage and HAS funding Liquid Logic project	-0.7	+0.7	0
Other Schemes	-0.4	-0.1	-0.5
	<b>-2.2</b>	<b>-0.1</b>	<b>-2.3</b>
<b>Total Variation in 2013/14 compared with the last Capital Q3 Plan update in February 2014</b>	<b>-7.3</b>	<b>+5.3</b>	<b>-2.0</b>

The implications of this net £2.0m underspend in terms of carry forward to 2014/15 and its impact on the capital spending capacity of the County Council is considered below.

#### **IMPLICATIONS OF 2013/14 CAPITAL UNDERSPENDING AND PROPOSED CARRY FORWARD TO 2014/15**

The County Council's Financial Procedure Rules incorporate a carry forward facility for under/overspends both for approved capital expenditure and scheme specific capital income.

There was a £7.3m gross underspend compared with the latest Capital Plan for 2013/14 (approved by the Executive in February 2014); but, after accounting for Directorate grants and contributions income, there is a net bottom line underspend of £2.0m.

The various components of this net £2.0m underspend and the proposed carry forward to 2014/15 is as follows:-

Item		£000
Latest 2013/14 Q3 Capital Plan update (gross spend		91,252
2013/14 Outturn		-83,954
= gross capital underspend		7,298
+ reduction in grants and contributions (£78,315k to £73,056k)		-5,259
= net capital underspend		2,039
'Corporate' Capital Plan variations not proposed for carry forward to 2014/15		
Material Damage provision	-303	
Purchase of vehicles provision	-89	
NYnet loan balance variation	-1570	-1962
<b>= adjusted net underspend proposed for carry forward to 2014/15</b>		<b>77</b>

The split of the proposed £77k carry forward underspend between Directorates is as follows:-

Directorate	£000 underspend
Health and Adult Services	25
Children and Young People's Service	1,075
Business and Environmental Services	-1,295
Central Services	272
<b>Total net underspend carried forward</b>	<b>77</b>

This proposed carry forward will not impact on the long term capital financing arrangements for the Capital Plan as borrowing and use of capital receipts can be used flexibly between years.

## FINANCING OF 2013/14 CAPITAL EXPENDITURE

Total capital expenditure of £83,954k in 2013/14 has been financed as follows:-

Item	£000	£000
New borrowing		
• External sources as adjusted for debt repayment and statutory charges to revenue	0	
• Internal capital borrowing	2,350	2,350
Capital Grants and Contributions		63,430
Schemes financed from Revenue		
• Directorate revenue contributions		9,626
Capital Receipts		
• Receipts received in 2013/14 from property sales		8,548
<b>= Total capital spending to be financed in 2013/14</b>		<b>83,954</b>

The balancing figure in the above table is an increasing level of borrowing for capital purposes from internal sources of £2,350k.

A more detailed comparison of the above funding package with that underlying the original Capital Plan approved by Members on 26 February 2013 and the last update approved on 4 February 2014 is provided at **Appendix 2F**.

New borrowing of £2,350k was needed to finance capital spending in 2013/14. This had the impact of increasing internal borrowing from cash balances with no external borrowing being taken in 2013/14 or premature loan repayments being made. In considering this figure, the following points should be noted:

- (i) a breakdown of the total capital borrowing requirement of £2.4m into constituent elements and compared with the original and latest Capital Plan is as follows:-

Item	Original Estimate (Feb 2013)	Latest Estimate (Feb 2014)	2013/14 Outturn
	£m	£m	£m
Prudential Borrowing approved by the County Council	1.0	6.3	4.4
Slippage of net capital expenditure and capital receipts between years	8.2	3.4	3.8
Temporary use of surplus capital resources (mainly capital receipts) and other financing arrangements	-3.3	-5.3	-5.8
<b>Total Capital Borrowing requirement</b>	<b>5.9</b>	<b>4.4</b>	<b>2.4</b>

- (ii) as indicated in (i) above, no new external borrowing was taken in 2013/14 and this is considered in more detail in the Annual Treasury Management report which was considered by the Executive on 17 June 2014.

### CAPITAL RECEIPTS

The County Council's policy on capital receipts is that essentially all such receipts shall be used to finance capital expenditure in the year in which the receipts are generated; The position relating to County Farms is explained further below.

The outturn position on Capital Receipts is as follows:

Item	£000
Receipts achieved in 2013/14	
• sale of County Farms	6,985
• sale of other land and buildings	1,563
Total capital receipts in 2013/14	8,548
Used to fund capital expenditure in 2013/14	-8,548
<b>Capital Receipts carried forward to 2014/15</b>	<b>0</b>

Points to mention in relation to the above table are as follows:-

- (i) total capital receipts from the sale of land and property achieved of £8,548k equates to the estimate of £8.5m used for the last updated Capital Plan submitted to Executive on 4 February 2014.
- (ii) the £8.5m is however considerably less than the forecast of £13.3m at the start of the financial year as a result of several factors, but principally because several significant receipts have slipped into future years because of a variety of factors. Several additional receipts have however helped to offset some of the effects of this slippage.
- (iii) all available Capital Receipts at 31 March 2014 (£8.5m) have been used to fund capital spending in the year. This approach is advantageous in terms of treasury management activities and reducing capital financing costs in 2014/15

In terms of County Farms capital receipts, the County Council agreed on 15 May 2002 a flexible policy on the utilisation of capital receipts. This policy was to use receipts as appropriate to either finance the Capital Plan, to reduce debt or for other purposes, in accordance with the financial demands on the County Council, and with its policies, at the time when the receipts became available. Following a review of the County Farms Sales Policy the Executive agreed, on 6 May 2008, that this policy should remain unchanged.



The Capital Plan approved by Executive on 4 February 2014 therefore utilises all expected County Farms receipts achieved in the financial years 2013/14 to 2016/17 to fund capital spending rather than for debt repayment or other purposes. Therefore all such receipts in 2013/14 totalling £6,985k have been treated accordingly and used for the funding of capital spending.

The property market remains uncertain although the level of activity has increased. This situation is expected to continue into 2015/16. Despite the state of the market, properties continue to sell in the right circumstances and at the right price.

The market in farm land is very strong. For other sectors, the market remains uncertain, although the level of activity in the residential market has increased. This situation is expected to continue into 2015/16. Despite the state of the market, properties continue to sell in the right circumstances and at the right price. The County Council will continue its use of auctions to dispose of property because this has proved to be the more successful approach in recent years.

The flow of surplus property from the County Council's property portfolio should continue as a result of service reviews and the property reviews resulting from 2020 North Yorkshire. The quantity of property that will be released and the rate of flow is uncertain at the moment and, combined with the state of the market, this makes it difficult to predict the level of capital receipts that will be achieved over the next few years with any degree of certainty.

## **FUTURE AVAILABILITY OF CAPITAL RESOURCES**

The Q3 Capital Plan update report submitted to Executive on 4 February 2014 identified a potential £9.4m of unallocated capital funding that might become available in the four year period to 2016/17. This sum arose mainly from additional capital receipts that had been identified, net of additional capital funding allocations approved by Executive.

As a result of the 2013/14 outturn position and a more recent updated forecast of capital receipts up to 2016/17 this surplus capital resources forecast has now increased to £11.4m (£5.8m in hand at the end of 2013/14. This increase is principally due to the net impact of variations in the expected value of existing surplus land and properties and additional land and properties being identified for sale.

As indicated above, it is currently difficult to predict the level of capital receipts that will be achieved over the next few years with any degree of certainty. Given this scenario the current £11.4m forecast of surplus corporate capital funding does include some fairly significant potential receipts that are uncertain in terms of timing and amount.

The surplus corporate capital resources could be made available for either:

- (i) new capital investment (i.e. additional schemes), or
- (ii) reducing prudential borrowing in 2014/15 or subsequent years and therefore achieving debt financing cost savings in the Revenue Budget/MFTS or
- (iii) holding for the time being with no immediate decision to either spend or reduce borrowing. This course of action would result in additional short-term interest being earned within Corporate Miscellaneous.

Members have previously agreed to adopt option (iii) above and retain any surplus capital funding for the time being. Another factor that influenced this decision was that the forecast funding levels include a capital receipts risk in terms of both forecast receipts slipping into a future year and/or not achieving their estimate.

Given the factors mentioned above and the intention to review the schemes in the Capital Plan and uncertainties associated with capital receipts forecasts, it is proposed that option (iii) be reaffirmed at this stage and that the unallocated funding is held in reserve for the time being.

## LOOKING AHEAD

The One Council Vision envisages a singular co-ordinated approach to the management of property asset. It also implies a 'whole-life costing' approach to the use of funds (revenue or capital) to maintain/improve/refurbish the asset portfolio. This approach is now being further developed as part of the 2020 North Yorkshire approach.

As part of this approach it is intended that officers will review a number of distinct areas in order to improve the way in which the County Council works:-

- (i) assess the scope for property rationalisation across the County Council in order to reduce existing and future property costs. This work has already been initiated by the Strategic Property Group and proposals are currently being worked up for further consideration. This does, however, need to fit in with the future needs of the Council and as Members will note, it is intended that further detailed work is carried out across all services to further shape the 2020 North Yorkshire Programme.
- (ii) all uncommitted schemes in the Capital Plan together with reviewing the capital plan process as a whole and
- (iii) further refinement of the Capital Gateway process including further development of an approach to include partners as part of the 2020 procurement for building design and the interface with other contractors and the Council (as client).

Updates will be provided as progress is made on relevant areas of the 2020 North Yorkshire Programme and the procurement referred to above

### **The Executive RECOMMENDS:**

- (a) That the position on capital outturn as detailed in **Appendices 2A to 2E** be noted
- (b) That the proposed carry forward to 2014/15 of the net capital underspend totalling £77k as set out at page 39 be approved
- (c) That the financing of capital expenditure as detailed at page 40 and **Appendix 2F** including the use of County Farms capital receipts to finance capital spending as explained at page 42 be approved.
- (d) That no action be taken at this stage to allocate any additional capital resources page 43.

**3. Young and Yorkshire: The Plan for all Children, Young People and their Families Living in North Yorkshire 2014-17:** In July 2013 the Children's Trust Board approved the creation of a project board, tasked with the development of a new Children and Young People's Plan to replace the existing Plan which was due to come to an end in March 2014. Membership of the project board was drawn from colleagues in CYPS, NYCC Central Services, Public Health, the Partnership Commissioning Unit, North Yorkshire Police and North Yorkshire Youth.

Extensive consultation with children, young people and stakeholders has taken place to capture the hopes and aspirations of children and young people across North Yorkshire.

The project board has also reviewed a wide range of information and other completed needs analyses to establish strengths and areas for improvement. This has included the incorporation of feedback following the recent inspection of services for children in need of help and protection by Ofsted.

Above all, Young and Yorkshire has been developed in collaboration with partners, stakeholders and importantly, children, young people and their families. The Plan is ambitious, aspirational and it's delivery, whilst challenging, will be reliant on a range of agencies and stakeholders working together to collectively improve the lives of children and young people across North Yorkshire.

Young and Yorkshire is driven by a vision for the future of services for children and young people, which sets out our over-arching hopes and ambitions. Two vision statements have been developed, a children's version and a statement drawn up by the professionals who work with children and young people:

*"We want North Yorkshire to be a cool place with loads of great things to do"*  
(Children's version)

*"We want North Yorkshire to be a special place where every childhood is wonderful and every young person thrives"*  
(Professionals' version)

The new CYPP sets out 10 guiding principles underpinning all that we do to support, children, young people and their families. The principles focus on involving families at all stages of service planning & delivery, early intervention, maximising resources (including voluntary & community capacity), improve the lives of those we aim to help and support:

- i) Involve children, young people and their families at all stages of planning, delivering and evaluating services
- ii) Resolve families' problems before they escalate by offering early help that develops resilience and self-reliance
- iii) Ensure that the safety and protection of children and young people is everybody's business
- iv) Strive for excellence in everything we do
- v) Work in close partnerships, in the best interests of children, young people and families

- vi) Recognise and use the capacity of the voluntary and community sector in enhancing provision and choice
- vii) Make sure we can demonstrate the impact we have on the lives of children, young people and families
- viii) Spend money wisely and effectively
- ix) Celebrate diversity
- x) Recognise that fun, happiness, and enjoyment of life are also important

Recognising the need to focus on those areas where the evidence suggests we need to improve outcomes and concentrate resources. Consequently, unlike previous iterations of the CYPP, Young & Yorkshire has only three key priorities. This does not mean that other important issues will be forgotten or neglected, simply that the three priority areas represent our most pressing challenges. The three priorities are:

- **Ensuring that education is our greatest liberator**, with a greater proportion of pupils attending a good or outstanding school
- **Helping all children enjoy a happy family life**, with a safe reduction in the care population
- **Ensuring a healthy start to life**, with more children and young people leading healthy lifestyles

Delivery of each priority is underpinned by four or five of supporting outcomes, which in turn are backed up by a number of delivery mechanisms, which include strategies, business plans and other strategic programmes, such as the Healthy Child Programme.

The Supporting Outcomes are:

*Priority 1: Ensuring that Education is our Greatest Liberator:*

Priority Outcome - A greater proportion of pupils attend a good or outstanding school

- (a) Life chances for children are improved through better educational outcomes in early years, primary and secondary education, including those of more vulnerable children
- (b) Children in care achieve improved educational outcomes
- (c) Vulnerable & disadvantaged pupils are helped to close the attainment gap between themselves and others
- (d) A high proportion of young people continue to participate in education, training and employment

*Priority 2 - Helping All Children Enjoy a Happy Family Life:*

Priority Outcome - The care population is reduced safely

- (a) Children and families in challenging circumstances receive effective early help to become self-reliant
- (b) Children are safe, and those at risk of harm are referred, assessed and protected appropriately
- (c) Children in care are supported to leave the care system safely at the earliest opportunity
- (d) Children in care experience stable and secure placements, within North Yorkshire and as close to home as possible

*Priority 3 - Ensuring a Healthy Start to Life*

Priority Outcome - More children and young people lead healthy lifestyles

- (a) Fewer young people engage in risk-taking behaviours
- (b) Children and young people enjoy good mental health and emotional well-being
- (c) Children enjoy good health and development, particularly in their early years
- (d) Children in care and children with disabilities or learning needs have improved health and well-being outcomes
- (e) Children feel safe and are safe

The priorities set out in Young and Yorkshire cannot be delivered by any single organisation and it will be vital the Children's Trust works to co-ordinate activity across partner agencies.

Strategically, the Board will engage with other key partnerships, including the Health & Wellbeing Board, the North Yorkshire Safeguarding Children Board and (once established) the North Yorkshire Education Partnership.

Locally, Children's Safeguarding and Strategy Groups (CSSGs) will have a crucial role, in terms of bringing together services to meet local needs. CSSGs will also play an important part in sharing understanding of local need and helping to ensure that resources are directed to where they will be most effective.

A consultation on the draft plan will be undertaken with Children's Trust members; this will run until the end of June 2014. Once approved, the Plan will be presented to a number of NYCC and partnership agency and stakeholder executive boards.

**The Executive RECOMMENDS:**

That County Council approve the Young and Yorkshire: The Plan for all Children, Young People and their Families Living in North Yorkshire 2014-17

**4. Youth Justice Service Strategic Plan 2014-16:** Under Section 40 of the Crime and Disorder Act 1998 it is a statutory requirement to have a Youth Justice Strategic Plan.

The Youth Justice Board issues guidance for completing the plan, which in addition to requiring committee approval must be signed by members of the Youth Justice Service Management Board and submitted to the Regional Youth Justice Board Manager.

The final draft North Yorkshire's Youth Justice Service Strategic Plan 2014-16 is attached at Appendix 4

The costs of delivering the Youth Justice Service, as outlined in the Youth Justice Strategic Plan will be met from within the existing budget which includes contributions from the County Council and other partners.

There are no specific legal implications for consideration arising from the Plan. The production of a plan is a statutory requirement.

In preparing the Plan there has been consultation across the Youth Justice Service, with senior managers in Children's Social Care, with Children and Young People's Leadership Team members, and with key partners through the Youth Justice Services Management Board.

The service actively consults with children, young people, parents and carers in respect of services delivered by the Youth Justice Service. Whilst there has been no direct consultation exercise about the Plan itself the views and feedback received previously have influenced the content of the Plan. The Youth Justice Service uses Viewpoint, an interactive web-based tool for eliciting children and young people's views.

**The Executive RECOMMENDS:**

The approval of the Youth Justice Service Strategic Plan 2014-16.

**5. Appointments to Committees and Outside Bodies:** Whilst the outcome of the Skipton West by election was awaited, vacancies were held on the following committees and outside bodies: Craven Area Committee; Yorkshire Dales National Park Authority; and the Standing Advisory Council for Religious Education. Following the election of Councillor Andy Solloway as an Independent (unaffiliated) member, 2 July 2014, the political balance has been recalculated and the outcome will be considered ahead of the next meeting of County Council 23 July 2014, to enable the appropriate nominations to be considered.

On the Young People Overview and Scrutiny Committee the following appointments are recommended: that Louise Alder serve as the Primary Teacher co-opted member representative in place of Michael Clarkson; and that Pam Crabtree be appointed as the Roman Catholic Diocesan representative.

On the Scrutiny of Health Committee: that Councillor Tony Duff be appointed on behalf of the Richmondshire District Council as their substitute member in place of Rob Johnson.

All appointments, nominations and approvals made or given by under this item shall be deemed to be appointments and nominations approved for the purposes of the Indemnity Policy.

**The Executive RECOMMENDS:**

That any proposals for the re-allocation of seats , if necessary to achieve political proportionality, or for changes to memberships or substitute memberships of committees, or other bodies to which Council makes appointments, put forward by the relevant political group, prior to or at the meeting of Council, be agreed including:

- (i) That County Councillor Andy Solloway is appointed to the vacancy on Craven Area Committee;
- (ii) That Louise Alder be appointed as the Primary Teacher co-opted member representative in place of Michael Clarkson, on the Young People Overview and Scrutiny Committee.
- (iii) That Pam Crabtree be appointed as the Roman Catholic Diocesan representative on the Young People Overview and Scrutiny Committee
- (iv) That Councillor Tony Duff be appointed on behalf of the Richmondshire District Council have nominated as their substitute member in place of Rob Johnson, on the Scrutiny of Health Committee.

JOHN WEIGHELL  
Chairman

County Hall,  
NORTHALLERTON.  
15 July 2014

## NORTH YORKSHIRE COUNTY COUNCIL

23 JULY 2014

STATEMENT OF THE HIGHWAYS AND PLANNING SERVICES PORTFOLIO HOLDER  
COUNTY COUNCILLOR GARETH DADD**Highways and Transportation****HMC 2012 Evaluation Panel – Outcome May 2014**

Members will be aware of the outcome of May's HMC 2012 Evaluation Panel with Ringway (the County Council's Highways Maintenance Contractor).

Following a detailed assessment of Ringway's performance for 2013/14, the Contract Period has been reduced by one year, so that the contract currently expires on 31 March 2021. In addition, performance targets have been agreed for the next three years. The 'lost' year can subsequently be won back for future good performance.

At the time of writing, Ringway was due to attend the Transport, Economy and Environment Overview and Scrutiny Committee on 16 July 2014 to discuss their 2013/14 performance.

**Whitby Park and Ride Service**

At the time of writing this statement we remain on track to have the Whitby Park and Ride site fully open and complete for the start of the school summer holiday apart from some planting and landscaping which is best done in Autumn. As I informed you at the last meeting the service started as scheduled in time for the Easter holidays on Good Friday, 18 April.

Although the Park and Ride site was not quite fully finished use of the park and ride service at this early stage is probably slightly better than we expected with over 600 people using it on the first day.

It is proving very popular with many local groups as well and we have received a number of requests for extended hours of operation. We are looking at how we can accommodate these at no extra cost to the Council and plan to run a one off trial of longer opening hours for the Whitby Regatta weekend in August.

I would like to thank the officers and local Members involved in delivering the park and ride scheme and I am pleased that despite all the challenges we have had delivering the project it is already proving to be very popular with users and many locals.

**Tour de France**

In my last statement, I provided members with an update on our planning for the Tour de France which was by then well advanced. I am now delighted to say that the delivery of the Tour de France has been a huge success for North Yorkshire and, in my view, it represents an excellent example of team work across a wide range of public sector organisations. I would however, like to pass on a very big thank you to all of our highways staff for their hard work and dedication in helping plan, prepare and deliver a fantastic event.

They, along with District Council Officers, really did go the extra mile!



## **Additional funding for Highway Maintenance**

In my last statement, I set out details of two bids we had made for additional highway maintenance funding in recognition of the vital role that good highway maintenance plays in delivering local economic growth.

As mentioned by the Leader, on 7 July the Government announced the outcome of their deliberations on the York, North Yorkshire and East Riding Local Enterprise Partnership (LEP) Strategic Economic Plan (SEP) and it was very pleasing to see that the recommendation from the North Yorkshire Local Transport Body (NYLTB) for additional highway maintenance funding had been accepted and provisionally awarded the full bid amount of £24m which is to be spent across the five year period from 2016/17 to 2020/21. The money will be spent on our minor routes which provide vital access for business across the county.

It was not an easy “win” with many outside the organisation casting doubt on the strength of the bid. I, with the core team, persevered amid the “challenge” and the result is plain to see.

The second bid was one from the Government’s “Pothole Fund” from which we were awarded £5.176m. This reflects well on the Asset Management team whom “maxed out” our potential. Had it been distributed in the usual formula manner, we would have been awarded approx £4.8m. Again, I must praise the skills of the unsung heroes within County Hall.

As we now progress the funding available, and works programmes, you may know that we now have a rolling 2 year Capital Plan in order to help planning and schedules for our contractor. Once we have more detail of the exact funding available I am asking for a detailed Members briefing to be circulated. This shall be produced over the next month or so.

## **Grass Cutting**

Over the next few weeks, we shall be finalising the maps of where we shall continue to cut. This will form the basis of communication with Parish, Town and District Councils.

I can give every assurance that cutting for safety reasons shall continue, either by ourselves or on our behalf via the interested Councils. We shall be working closely with all involved to assist, where we can, those Councils that wish to see amenity grass cut. They shall have various options and again we shall be as helpful as we can in terms of volunteers and contractor identification and approval.

My personal view is very clear. To cut amenity grass is a decision that should be taken locally. It is also part of a financial saving package approved by Full Council in February.

## **Planning Services**

### **York Potash**

Members of the North York Moors National Park Authority’s Planning Committee received an Officer Report on 20 March which provided an update on the position with regard to the York Potash proposals. The report explained that York Potash has unexpectedly announced a significant change to the project at a briefing meeting held in Leeds on 25 February. There exists a new proposal for an underground conveyor belt system to be contained in a 6 metre diameter tunnel, approximately 37.5 kilometre long, which will be constructed at a general depth of between 120-360 metres below the National Park before emerging via a surface drift portal at Wilton on Teesside. This negates the need for the burying of a 44.5 kilometre pipeline and any associated disturbance that could have potentially arisen.

The report further explains that a decision has been taken by York Potash not to extend the mineral extraction area to cover small additional areas of land outside the National Park at the 'Whitby Enclave' and in the vicinity of 'Cloughton Sands' at this stage. As a consequence, the County Council will not now be involved as a joint determining planning authority. A new application is still anticipated to be submitted, but due to the aforementioned changes, this submission is expected to be made solely to the National Park Authority rather than to both the Park Authority and the County Council.

GARETH DADD

## NORTH YORKSHIRE COUNTY COUNCIL

23 JULY 2014

**STATEMENT OF RURAL SERVICES, WASTE DISPOSAL, PUBLIC PASSENGER  
TRANSPORT, TRADING STANDARDS AND ECONOMIC DEVELOPMENT PORTFOLIO  
HOLDER COUNTY COUNCILLOR CHRIS METCALFE****Waste Management**

On 4 August 2014 the County Council will be introducing a charge at Household Waste Recycling Centres for the disposal of hard-core and rubble. This includes materials such as breeze blocks, bricks, ceramics (eg sinks and toilets), concrete, flag stones, soil, slates, plasterboard, etc. Household waste and recyclable items will not be affected and will not be charged for. The charge will be £2.50 for 25kg.

At the same time we are opening all our HWRCs to take commercial waste from businesses and traders to help encourage recycling and provide cost effective and local disposal. Currently only Harrogate and Northallerton HWRCs accept commercial waste.

**Public Passenger Transport****Bus Services**

Extensive changes to bus services were introduced in April and May following our decision to reduce the amount of subsidy available to operators. The level of disruption seems to have been moderate. In the few instances where issues have been identified we have been able to address these positively.

At the end of May, Pennine Motors in Skipton withdrew their commercial bus services. With no alternative operator willing to take on the services commercially the Council used its own fleet of vehicles to provide a replacement service which ensured that access to essential services was maintained.

In January the Executive asked the Corporate Director - BES to give further consideration to further subsidy reductions in 2015/16. This work has progressed alongside a Scrutiny Task Group which will report formally in October. It is clear that there is more detailed work to be done to ensure that we maintain access to essential services and this cannot be completed in time for changes to be introduced in 2015/16. I can confirm that there will be no reduction in the budget for bus services in 2015/16, however, this service area has already met its savings requirement for 2020 North Yorkshire for 2015/16.

**Rail Services**

The DfT has issued TransPennine Express rail franchise and Northern rail franchise: stakeholder consultation with responses required by 18 August 2014. The consultation documents are available from the DfT website and Graham North the Council's Rail Officer is the main contact for any member who would like more information.

## **Trading Standards**

### **Farming Food & Health**

Food - officers continue to investigate the issue of peanuts in 'peanut free' takeaway meals. A programme of sampling has been undertaken at 47 establishments across North Yorkshire with 7 requiring further investigation into the level of peanuts present, despite assurances by the restaurant that the meals were 'peanut free'. Clearly this is a serious issue and we will continue to pursue the matter robustly.

### **Investigations and Safeguarding**

In May, I reported that on 27 February 2014, following prosecution by North Yorkshire Trading Standards, Monty Croke and his two sons, Billy and Monty Junior, appeared before Teesside Crown Court to be sentenced for conspiracy to defraud and money laundering offences following the victimisation of a number of vulnerable consumers for over charged and unnecessary property repairs. Their criminal activities included taking over £250,000 from a 61 year old Ryedale resident with Parkinson's disease for unnecessary building work and a fraudulent investment in the building of holiday cottages. Monty Croke was initially sentenced to 5 years imprisonment and Monty Croke junior and Billy Croke were sentenced to two years and one year imprisonment respectively. These sentences were considered to be unduly lenient in view of the nature of the offending, including particularly, the way in which the Ryedale resident had been 'groomed' over a period of years. Trading Standards therefore asked the Attorney-General's Office to consider referring the sentence to the Court of Appeal. The Court of Appeal heard the case on 16 May 2014 and found that all three sentences were unduly lenient. They increased Monty Croke's sentence to seven and a half years taking into account the fact of his guilty plea; Monty Croke Junior's sentence was increased to four years taking into account his guilty plea; and Billy Croke's sentence was left unchanged following submission of medical evidence.

## **Economic Development**

### **Local Growth Fund**

The York, North Yorkshire and East Riding LEP has secured £110.1 from the Government's Local Growth Fund to support economic growth in the area – with £34.1m of funding confirmed for 2015/16 and the balance from 2016-21

In a competitive process this is significantly more than the 'fair share' for this geography and represents a good deal for the County and the LEP. This reflects the quality of the partnership between the LEP and Local Authorities at both a District and County level and both the ambition and growth potential of our area.

Successful investments include:

- Olympia Park, in Selby
- North Northallerton
- Junction improvements at Catterick
- Middle Deepdale in Scarborough
- Development of a National Agri-Food Innovation Campus at Sand Hutton
- A new college extension in Harrogate; and
- £24m towards additional highways maintenance across North Yorkshire

The investments by 2021 will create over 4000 new jobs, enable over 5000 new homes to be built and leverage over £600m private sector investment.

CHRIS METCALFE

## NORTH YORKSHIRE COUNTY COUNCIL

23 July 2014

### STATEMENT OF THE PORTFOLIO HOLDER - LIBRARY AND COMMUNITY SERVICES COUNTY COUNCILLOR CHRIS METCALFE

#### LIBRARIES

##### Summer Reading Challenge – It's a-MAZE – ing!

The 2014 reading challenge is about to take over libraries across the county – to date 108 young people have signed up to volunteer – up from 90 in 2013 – and there is still time for more to join in. Libraries are looking to maintain the excellent record of over 10,000 children participating in the Creepy House reading challenge. Children sign up at their local library, receiving a free poster, with stickers, bookmarks and fridge magnet to encourage them to keep reading. When they have read six books they receive a 'Mythical Maze' medal and a certificate. The only rule is that the books they read are borrowed from the library, but they can choose whatever they want including audiobooks. All completing the challenge will be entered into a prize draw to win a day out at Flamingo land for all the family.

##### Bookstart Week

Libraries across the county also celebrated Bookstart Week with Teddy Bear sleepovers, special storytimes and free books. Whitby Library hosted a sleepover for Teddy Bears and have photographic evidence of what the bears got up to once the library had closed for the day. All were safely restored to their owners the following day!

##### Supermobile

The new vehicle has been delivered and is currently awaiting its livery design which has been created by three young people following the 'design a mobile' competition earlier this year. It is planned the vehicle will be on the road visiting isolated communities by mid-summer.

##### Hawes Library

Community managed Hawes Library struck another first when they opened at 1.00pm on 17 June with the Post Office in the library. Postman Pat was on hand to help with the celebrations distributing copies of the book Super Duck to children.

## Family History

Library staff were on duty on the BBC stand at this year's Great Yorkshire Show in Harrogate to assist with family and local history enquires – in particular promoting the online and other resources held by the library and archive services.

## **ARCHIVES**

### Archives at Dusk

The fourth Archives at Dusk event - part of Museums at Night, an annual UK-wide festival which encourages visitors into museums, galleries and heritage sites by throwing their doors open after hours and putting on special evening events – took place on May 15th. This relaxed, fun, interactive evening, with a theme of clubs and societies, attracted 150 people. Visitors had the opportunity to meet the Good Humour Club in their 18th century coffee house, have a family photograph taken in the Victorian studio, see how County Hall was transformed into a hospital during the First World War and view dramatic photographs of early climbing and caving exploits, as well as being able to explore 'behind the scenes' at the Record Office. The event received national publicity and was featured in an article in Discover Your History Magazine which described it as a 'brilliant initiative'.

### Home Comforts

The Record Office has also been awarded a grant of £9,800 under the Heritage Lottery Fund's First World War: Then & Now programme for its 'Home Comforts' project. Run in partnership with the British Red Cross, the project will enable communities and individuals to explore and commemorate the Auxiliary hospitals established by the Red Cross throughout the North Riding (including one at County Hall) during the Great War to provide care for the many wounded soldiers returning from the Front. Properties and nursing care were given on a voluntary basis and this project will allow all age groups in the local communities concerned to re-connect with their own history, gaining a greater understanding of how properties were adapted to help the war effort and how local women in particular took the lead in developing voluntary provision. A travelling exhibition will be created with the aim of visiting each of the communities involved over the life of the project and a commemorative publication will be produced to provide a permanent record of the detailed stories that this project uncovers. A special commemorative event will be timed for 11 November 2014. Resultant archive material will be placed for permanent safekeeping and access at the Record Office.

## **Registration Service**

The Tour de Yorkshire was an exciting time for all but perhaps especially so for the couple who got married at the Register Office in Harrogate on the morning of the first stage. The cycling enthusiasts chose Harrogate because of Le Tour and afterwards mingled with the huge crowds on the Stray, the bride still dressed in her wedding gown. July weekends are already a busy time for registrars with wedding ceremonies, but the efforts made meant that all 15 of the weddings in areas affected by the road closures and general disruptions over the three days went ahead.

The date of 10 December 2014 has been set as the date from which couples can convert their existing civil partnerships into a same sex marriage. Further details are awaited.

At the same time as introducing same sex marriages, the Government undertook a consultation and review of the future of Civil Partnerships which are only available for same sex couples. The result of that consultation is that there should be no change to this and they should remain for same sex couples only.

**CHRIS METCALFE**

## NORTH YORKSHIRE COUNTY COUNCIL

23 JULY 2014

**STATEMENT OF THE PORTFOLIO HOLDER FOR  
PUBLIC HEALTH AND PREVENTION  
COUNTY COUNCILLOR DON MACKENZIE****Substance misuse service contract awarded**

The Council has now awarded contracts following completion of the tender for a new adult substance misuse service. The successful bidders are DISC and Lifeline Project, both charitable organisations. The new integrated service, which commences on 1st October 2014, will provide professional help to drug users and harmful drinkers to achieve their recovery goals. The Public Health Team are having regular meetings with both new providers during the transition period to ensure an effective transfer of care for users of the services.

**Public health service performance**

The Council is responsible for the commissioning or delivery of several public health services. We have been monitoring the activity and quality of services under the large public health contracts, which were transferred last year to the Council from the NHS.

During 2013/14 we invited 30,613 people aged 40-74 for a Health Check. Almost half (14,319) had a check with their GP. These checks resulted in 1,031 people being identified as high risk for cardiovascular disease and receiving appropriate treatment to help prevent heart attacks, strokes and diabetes.

Over the same period our service providers received 9,234 visits for diagnosis and treatment of a sexually transmitted infections, and 4,456 visits for contraceptive and sexual health advice. Sexual health service providers and GPs fitted over 8000 Long Acting Reversible Contraception (LARC) devices for women, thereby reducing the risk of unplanned pregnancies.

We continue to study closely trends in childhood obesity through the National Child Measurement Programme. So far, the results show that one in five children at reception class age is overweight or obese and that, by year 6, this increases to almost one in three.

I briefed colleagues in my last statement that the Council would be procuring services for the 5-19 Healthy Child Programme, including weight management, to commence in April next year.

**Lifestyle service launch in Hambleton**

I was delighted to take part in the launch of the Hambleton lifestyle service in June. This diverse service for over-18s is a collaboration between NYCC, the district council and local CCG, and aims to encourage lasting behaviour change on the part of residents, focusing on increasing physical activity and improved diet.

Our aim is to expand this lifestyle service throughout the county, delivered by the district councils and jointly funded by the Public Health Grant and the local CCG.



## **Innovation Fund**

Members will be aware of this fund, which was first launched in 2011. A total fund value of £1.58m, topped up with contributions from the Supporting People budget, was made available to the voluntary sector to pay for effective community-based projects in areas of priority identified by North Yorkshire County Council.

Up to now, £934,000 has been distributed to 20 projects supported by diverse organisations in all parts of the county, including Age UK, Alzheimer's Society, Autism Plus, Disability Action Yorkshire, and the North Yorkshire Centre for Independent Living.

Last month, I attended a meeting in Thirsk at which the next round of funding worth almost £500,000 was launched in the presence of some 140 delegates from community and voluntary groups. Prevention lies at the heart of the aims of the Fund, and the groups bidding for funding will be required to target three main themes: loneliness and isolation, preventing falls, and supporting people to remain in their own homes.

## **Tour de France legacy**

The Tour de France visited North Yorkshire two weeks ago, and although the riders have left, our roads have reopened and visitors from around the world have departed, we are anxious to ensure that the legacy of the race lives on. The event provides a unique opportunity to improve the fitness and health of our residents.

The Council is supporting the 'Slow Tour of Yorkshire', a project promoted by Sustrans and inspired by the Tour de France, which highlights family friendly cycle routes in the area, and provides maps and information to enable people to enjoy 21 of the best of Yorkshire's cycling routes on the National Cycle Network.

You can download the "Ride the Yorkshire Routes" smartphone app created by the City of York, which has contributions from North Yorkshire County Council and provides information on how to ride the Tour routes safely.

North Yorkshire Sport is coordinating various Tour de France legacy initiatives throughout the region. The organisation, which recently gave a joint presentation together with representatives of our two national parks to the Health and Wellbeing Board, has introduced Schools Games events, workplace challenges, and various district-based activities.

I watched the Grand Depart on the big screens on the Stray in Harrogate, surrounded by tens of thousands of people, who had arrived on foot and by bike. I was able to witness at first hand the excitement of the crowd as the riders approached the town, and I was amazed at the enthusiasm of everyone, young and old.

We have every reason to be confident that this sporting occasion, the greatest spectator event in the world, will have a positive legacy by encouraging our residents to get on their bikes and to lead healthier lives.

DON MACKENZIE

**NORTH YORKSHIRE COUNTY COUNCIL**

23 JULY 2014

**COUNTY COUNCILLOR CARL LES****Property**

The County Council's contract with Jacobs for the provision of Property and Engineering Services expires on 31 March 2016. Officers have undertaken work to consider the requirements for those services after that date and alternative options for their provision. The Executive will consider a confidential report on the issue on 29 July and, subject to the report being approved, a re-procurement exercise will commence thereafter.

Detailed planning is being undertaken to ensure that there will be a smooth transition between the existing and proposed arrangements.

**National Day of Action**

A national day of action took place on 10 July and affected the whole of the public sector.

In NYCC we had 19 schools closed and 44 partially closed. With the exception of the closure of 2 Adult Day Centres in Scarborough and Selby and a Children's Centre in Northallerton there were no other services affected. In total some 180 (non school) staff went on strike. There were picket lines outside larger offices and libraries which were peaceful and small in number.

This national dispute relates to the national pay offer and is not something individual authorities can determine.

The local government employers side have stated that the industrial action will not affect the existing national pay offer of 1% for most staff increasing to up to 4% for lowest paid staff, so there may be further strike action later in the year if a national agreement cannot be reached.

**Local Government Yorkshire and Humber**

Local Government Yorkshire and Humber represents the 22 councils across Yorkshire and the Humber. In recent years its role has reduced but further discussions took place on 14 July when Leaders considered its on-going role.

Views were expressed prior to the meeting that its role should be narrow in supporting Leaders and Chief Executives of the 22 councils to come together but any subsequent work should be shared out amongst the councils. I will be able to provide a further verbal update at County Council following the meeting of 14 July.

## **Financial Outturn 2013/14**

On 17 June the Executive considered the 4<sup>th</sup> Quarter Performance Monitoring Report. This included details of the financial outturn for both the Revenue Budget and Capital Plan for 2013/14. As the Leader notes in his statement, we are managing our financial position well. The Council is continuing to deliver on its savings, some of them ahead of schedule which means that we are as well placed as we can be as we prepare for the next round of significant savings. These additional one-off savings also provide us with the opportunity to make the necessary investments so the Council is well placed for the future.

The financial outturn position is now being reflected in the Council's draft Statement of Accounts which is currently being considered by the Audit Committee. The Audit Committee will consider the final draft on 25 September 2014 which will permit it to sign off the Statement of Accounts in line with our statutory obligations.

## **2020 North Yorkshire Staff Support**

It is important as we move forward with the 2020 Programme that we support staff as they are directly affected. HR are providing support for staff who are likely to be in a redundancy position by helping them look for and find another job or opportunities, often outside the council. Unfortunately redeployment into other jobs in the council will be much less of an option than in earlier restructures. We are helping staff affected by support such as interview training, preparing a CV and job applications, job search, information on setting up in business, training for different roles etc. Because of the size and scope all staff will be affected in some way, so we are also providing general support and information. There is now a dedicated online staff resource providing information on a range of issues including how to manage any personal worry and anxiety, financial planning, how to update skills such as IT, alternative options staff may not have considered such as fostering. Information events are being run to cover all these issues and more for staff to drop in and find out about if they want.

We want staff who have to leave our employment, often after many years, to leave well with as much support and help as they need.

CARL LES

**NORTH YORKSHIRE COUNTY COUNCIL****23 JULY 2014****STATEMENT OF CHILDREN'S SERVICES PORTFOLIO HOLDER****COUNTY COUNCILLOR TONY HALL****Medium Term Financial Strategy & 2020 North Yorkshire**

The Children and Young People's Service has continued to meet its savings targets. The scale of budget cuts required – and achieved to date, whilst maintaining service levels, has been a significant challenge. The objective has been to protect front-line services as much as possible, thus enabling a restructured Directorate to operate effectively across its universal, preventative, targeted and acute service responsibilities, in line with legislation.

Over the four year period 2011-15, the Directorate will have made savings of over £20m. As members will be aware, the Council faces continuing work to deliver a balanced budget over the period 2015-19 and the Directorate's target within the 2020 North Yorkshire programme is a further £16m and a detailed programme will be implemented over that timeframe – one of the first projects to get underway was the review of Preventative Services. Although these proposals will result in a major transformation of delivery arrangements for some of our services, targeting our support on the most vulnerable and those with the greatest level of need, we have recognised the importance of early intervention and of delivering our statutory responsibilities in terms of safeguarding, special educational needs and disabilities and school improvement. Many will of course be subject to public and staff consultation at appropriate stages in their progression.

This information appears in the Statements to Council for both Executive Members for the Children and Young People's Service as this reflects our joint responsibility for all relevant resources.

**Looked After Children**

As I reported to Council in May, the outturn at 31 March 2014 was really pleasing in relation to looked after children. The safe reduction in looked after children was prioritised as part of the major restructure of children's social care in 2012, resulting in a welcomed decrease from 494 to 465 placements. This meant that for the first time the budget for looked after children achieved a balanced budget which bodes well given the 2020 target to reduce LAC numbers even further. In the year there were 132 new admissions compared to 189 in 2012/13, representing a 30% reduction. In the first two months of 2014/15 there were 22 admissions and 25 discharges. This has been achieved by providing intensive packages of support to keep families together and consistently driving down the need for external (and often higher cost) placements.

**Ofsted inspection of Services for Children in Need of Help and Protection, Children Looked After and Care Leavers and Review of LSCB**

North Yorkshire County Council puts the needs of children first and keeps them safe according to Ofsted, which has praised the Council highly for the effectiveness of its children's services. Ofsted's judgement, in its latest inspection, has placed North Yorkshire amongst the top authorities in the country for its care and protection of children, grading it as 'good' in every category. Ofsted has also stated in its report that the authority "has clear plans in place" to become outstanding. Inspectors praised a change of culture in North Yorkshire under a "new and experienced" Director of Children's Service and senior leadership team, which has led to improvements in partnership working, the quality of services and outcomes for children and young people.

Ofsted praised the Council for “excellent workforce stability” and for its “ambitious, comprehensive and effective workforce strategy” and also commended North Yorkshire’s “very good longer term financial planning” which, despite the tough economic climate, “has ensured the sustainability of the services for children, young people and their families, over the next five years”.

The Council has few social worker vacancies and does not need, as a result, to use agency workers, staff have manageable caseloads and are supported in their professional development. This means inspectors found that most children enjoy positive and stable relationships with their social workers who know them, and their families, well. Social workers have regular and frequent contact with children and families and carry out “high quality” work. They “talk knowledgeably about the children they are working with” and are sensitive “to their needs wishes and feelings” say inspectors. Despite the financial times in which we operate, the report says that North Yorkshire has invested long-term “both financially and professionally”, in developing social workers’ practice and career paths in line with the authority’s ambitious vision for children’s social care.

Adoption services are judged by Ofsted to be good “with some outstanding examples of individual work”. Adoption is considered at the earliest stage in case planning for children where a return to their family would be unsafe or would not satisfactorily meet their needs. The county council runs six children’s homes – all judged to be good or outstanding. Disabled looked-after children with complex need benefit from high quality placements that meet those individual needs;

Good educational provision is in place for care leavers and the majority are attending local further education colleges, other education and training or university. Currently the authority is supporting 22 young people who had been in care into higher education with a good package of independent advice and guidance, financial support and on-going pastoral care. Ofsted also recognised how elected members “show a strong sense of corporate parenting responsibility with a clear understanding of the looked after children population”.

### **Children’s Social Care Performance**

I will report on performance directly from the recently published Ofsted inspection report which was very positive about North Yorkshire performance:

- Performance across a broad range of indicators is generally good or better than statistical neighbours and this good performance is sustained or improving against almost all indicators.
- Very good performance of completing assessments promptly. 89.9% of initial assessments are completed within 10 days and 92.7% of core assessments within 35 days.
- The quality and timeliness of case recording is good.
- Management oversight and decision making is clearly evidenced on the child’s case file and on supervision records, which provides effective assurance and direction on the quality of the work.
- Excellent engagement by the social workers with families.
- The local authority’s own data for care proceedings shows very good performance, with the average time for completion being within 23 weeks, against the government’s target of 26 weeks.
- 61% of children achieving permanence in less than 20 months, which is very good in comparison to the national performance.
- Placement commissioning is good and improving.
- Only 6.1% of children experience three or more moves of placement compared to 11% nationally.

- The average time between children entering care and moving in with their adoptive family is 564 days which is very good and below statistical neighbours and England averages at 643 and 647 days.

### **A new Plan for Children, Young People and their Families**

County Council will be asked later today to approve the new Children and Young People's Plan for 2014-2017. In July 2013 the Children's Trust Board approved the creation of a project board, tasked with the development of a new Children and Young People's Plan to replace the existing Plan which was due to come to an end in March 2014. Membership of the project board was drawn from colleagues in CYPS, NYCC Central Services, Public Health, the Partnership Commissioning Unit, North Yorkshire Police and North Yorkshire Youth. Extensive consultation with children, young people and stakeholders has taken place to capture the hopes and aspirations of children and young people across North Yorkshire. The project board has also reviewed a wide range of information and other completed needs analyses to establish strengths and areas for improvement. This has included the incorporation of feedback following the recent inspection of services for children in need of help and protection by Ofsted.

Above all, the plan has been developed in collaboration with partners, stakeholders and importantly, children, young people and their families. It is ambitious, aspirational and its delivery, whilst challenging, will be reliant on a range of agencies and stakeholders working together to collectively improve the lives of children and young people across North Yorkshire.

### **The Local Offer**

The Local Offer forms a central part of the legislative reforms for children and young people with special educational needs and disabilities (SEND 0-25), as introduced by the Children and Families Act 2014. The Local Offer provides a single, accessible picture of services across education, health, social care and the third sector, to enable children and young people and their families to have greater choice and control about provision in their area. Work in North Yorkshire to develop the Local offer continues to be recognised nationally as an example of good practice.

We are working in partnership with education, health, care and voluntary and community sectors to fully populate the Offer's online information prior to full implementation in September 2014. To ensure that this information remains accurate and up-to-date, and that partner agencies understand and respond to their own respective duties, a 'Maintenance and Review Arrangements Policy' has been drafted which is currently being finalised. A public consultation on the development of the Local Offer to date is now live and will run from 25th June – 30th July 2014.

### **Developing Stronger Families**

The Developing Stronger Families Programme continues to make good progress. As at 30<sup>th</sup> June 2014, we have worked with 765 identified families, which represents 90.5% of the total number of families needing to be worked with by March 2015. The teams across the County are currently working with 271 families (as at 27/06/2014).

Troubled Families teams are able to make claims, on a quarterly basis, for rewards payments for those families meeting the nationally defined outcome measures. The latest window available to make outcomes claims closed on 16 May 2014 and we were able to make a claim for 197 outcome payments. This means that a further £191,800 was secured in reward payments making a total of £446,000 rewards payments received so far. To date the programme has successfully 'turned around' 61% of the 845 families required to be worked with. We are awaiting an announcement from the Department of Communities and

Local Government regarding the total number of claims made nationally and how this corresponds to local performance but we have again received excellent feedback from the national team – ***‘North Yorkshire have now turned around 61% of the 845 families committed to (which will put you well above the national average) and this is a fantastic achievement and helping lead the way for the rest of the country’.***

#### **Prevention Service Review.**

The consultation on proposals to establish a 0-19 Prevention Service, designed to provide support to children, young people and families at the earliest point to avoid late, more costly and more complex interventions, has ended. The consultation had a significant degree of engagement with staff, with over 350 attending meetings and well over 400 individual questions and responses. These are now being considered and it is anticipated that the Executive will be asked to determine the proposals, revised as appropriate, in September.

In addition, a consultation is currently taking place with young people across the county, to understand what they value about different types of youth work and to take their views on where we should retain some element of targeted work with young people in communities.

Similarly, consultations are taking place at local level about the future uses of a number of children’s centre bases, where they are closely associated with schools and where the premises could be used to provide places for early years children, with some outreach children’s centre work delivered from them on a sessional basis.

**County Councillor Tony Hall, Executive Member for Children’s Services**

**NORTH YORKSHIRE COUNTY COUNCIL****23 JULY 2014****STATEMENT OF SCHOOLS PORTFOLIO HOLDER****COUNTY COUNCILLOR ARTHUR BARKER****Medium Term Financial Strategy & 2020 North Yorkshire**

The Children and Young People's Service has continued to meet its savings targets. The scale of budget cuts required – and achieved to date, whilst maintaining service levels, has been a significant challenge. The objective has been to protect front-line services as much as possible, thus enabling a restructured Directorate to operate effectively across its universal, preventative, targeted and acute service responsibilities, in line with legislation.

Over the four year period 2011-15, the Directorate will have made savings of over £20m. As members will be aware, the Council faces continuing work to deliver a balanced budget over the period 2015-19 and the Directorate's target within the 2020 North Yorkshire programme is a further £16m and a detailed programme will be implemented over that timeframe – one of the first projects to get underway was the review of Preventative Services. Although these proposals will result in a major transformation of delivery arrangements for some of our services, targeting our support on the most vulnerable and those with the greatest level of need, we have recognised the importance of early intervention and of delivering our statutory responsibilities in terms of safeguarding, special educational needs and disabilities and school improvement. Many will of course be subject to public and staff consultation at appropriate stages in their progression.

This information appears in the Statements to Council for both Executive Members for the Children and Young People's Service as this reflects our joint responsibility for all relevant resources.

**School Performance**

Ofsted data on 1 July 2014 showed that 80% of primary schools and 68% of secondary schools in North Yorkshire are good or outstanding with 76% of both primary and secondary pupils attending good or better schools in North Yorkshire. The proportion of pupils attending good or better schools has improved by 2% since April 2014 at both primary and secondary, whilst the national averages are little changed. This compares with national averages for the percentage of schools being good or outstanding at 81% for primary and 71% for secondary. Nationally, 80% of primary pupils and 74% of secondary pupils attend schools which are good or outstanding. 89% of all private, voluntary and independent settings across North Yorkshire are now judged to be good or outstanding.

Both Barlby Bridge Community Primary School and Barlby (Hilltop) CP School have been judged to be good at their latest inspections having previously been requires improvement. The LA had provided intensive support to Barlby CP School but this is now light touch in recognition that the school has improved significantly and continues to do so. Five primary schools (Skipton Ings, Braeburn Junior, Barrowcliff Junior, Farnley C.E. and Skipton St Stephen's RC) are currently judged to be inadequate. Threshfield Primary has moved from good to requires improvement (leadership and management were judged as good with the LA providing good support which is helping the school to improve). Thirsk CP School has had its judgement moved from requires improvement to good by HMI after the school accessed the Ofsted appeals procedure. Wavell Community Junior School at Catterick Garrison has been judged as good maintaining its previous inspection judgement. Ruswarp CE has been judged as good having previously been outstanding whilst Seamer and Irton CP has maintained its good judgement at its latest inspection. Thirty three primary schools are currently judged to require improvement and are working with HMIs. In all of



these schools HMIs continue to be satisfied with the progress being made as recent monitoring visits evidence. There has only been one inspection of North Yorkshire secondary schools over the past two months. Filey School was inspected at the beginning of June, only 14 months after their previous inspection, and the outcome has not yet been published. Eleven secondary schools currently are judged to require improvement. HMI are satisfied with progress in all but one of those schools. Three secondary schools are currently judged to be inadequate. Aireville School's latest published monitoring letter shows that the school is taking effective action to improve provision and plans are in place for the school to become a sponsored academy under the sponsorship of Craven College in September 2014. Graham School has had a recent second monitoring visit, following a very successful first visit, but the monitoring letter has not yet been published

The Council's 'Closing the Gap innovation programme' is about to enter its second year. In the first year of what will be a three year programme, 10 projects, involving just under 100 schools, have been supported with around £240K funding from the Wrea Head Trust to stimulate collaboration and innovation on this key strategic priority. The approved projects contain innovative proposals which will seek to quickly close attainment gaps for the most disadvantaged pupils across the schools concerned, based on current research into the most effective strategies that have been proven to be successful nationally. Projects are just getting under way and each project will be robustly evaluated in order to provide clear evidence of the strategies that have most impact and the value for money that has been achieved. Groups of schools are currently preparing project proposals for the second year of the programme, which will begin in September. At the end of June, a very successful Closing the Gap Conference was held for North Yorkshire schools at which the key note speaker was Sir John Dunford, national Pupil Premium Champion, who passionately endorsed the current ambition of the LA to quickly close the attainment gaps that exist within the county. Work is also beginning to develop a strategy for closing gaps in the Early Years, which the Closing the Gap Programme Board will be considering later this month.

### **School Funding**

The Council has recently responded to the latest consultation from the Department for Education on moving to a national funding formula. This consultation states, in fact, that there will now be no further changes to the way in which local authorities can distribute funding in 2015-16, other than a review of how the sparsity factor operates – which we find helpful as we have some specific concerns about how this factor works in practice. For example, we have responded to ask to be allowed to use a higher funding figure for secondary schools than for primary schools.

As part of the consultation, rather than distribute the funding to LAs for schools (known as the Dedicated Schools Grant) on historic funding levels, the DfE has begun a process for 2015-16 onwards which will allocate a minimum level of the Schools Block of the DSG funding to each local authority area. This formula is not the same as that which is then used to allocate money to schools, although it shares some of the same characteristics (such as basic unit per pupil, deprivation, sparsity, lump sum) but not all (such as mobility funding). An important feature of the most recent announcement is that, as a result of the new minimum levels of funding, the Department intends to allocate an “additional £350m in 2015-16 to increase the per-pupil budgets for the least fairly funded local areas”. This means an additional £7.1m for North Yorkshire – equivalent to about 2.2% of the current Schools Block.

We are expecting a response from DfE in the next few weeks, but would be surprised if there was a change to their direction of travel on this.

### **Schools Organisation**

A number of school organisation proposals are currently being progressed. On 27 May 2014 a decision was taken by the Executive that Richard Thornton's CE VA Primary School in Burton in Lonsdale will close with effect from 31 August 2014. In Scarborough three pairs of infant and

primary schools have been consulting on amalgamation from September 2014. On 17 June the Executive Member for Schools decided that Gladstone Road Junior School would close and Gladstone Road Infant School would expand to create a single 4-11 primary school. It was also agreed that Barrowcliff Nursery and Infant School would be amalgamated with Barrowcliff Junior School, with which it was already federated, through the closure of the Junior School and expansion of the infants. Also in Scarborough a consultation has been carried out on a proposal to amalgamate Braeburn Infant and Nursery School with Braeburn Junior School through the closure of the Junior School and expansion of the infants. A final decision will be taken on 8 July 2014.

In Whitby it has been agreed that Whitby Community College and Caedmon School will amalgamate on 31 August 2014 through the closure of Caedmon School and enlargement of Whitby Community College to create an 11-18 school operating across two sites. Discussions are continuing with Eskdale School following the DfE's refusal to allow their conversion to Academy status earlier in the year.

Planning has started on the development of a new primary school to serve the Staynor Hall development in Selby town. Following consultation last year it has been agreed that the additional places will be provided through the opening of a new one form entry (plus nursery) primary academy from September 2016. Expressions of interest have been sought from potential academy sponsors and an evaluation of bids has commenced. A recommendation will be made to the DfE which will take the final decision on the sponsor in the autumn term. Feasibility work has been undertaken in parallel to develop a design for the new school.

Consultation opened in June 2014 on the provision of additional primary school places to serve the Sowerby Gateway development in Thirsk. A decision will be taken early in the Autumn term on whether this should be through the expansion of existing schools or the development of a new primary academy. The places will be needed by September 2017.

### **Universal Free School Meals initiative**

From September 2014 all infant school pupils will be entitled to a free school meal. An evaluation has been undertaken of the readiness of the 309 affected schools to meet the new entitlement. The County Catering Service which supplies meals to the majority of schools is investing £664,300 from its reserves to provide additional equipment in order to ensure it can provide the additional meals to the schools it supplies. It is also recruiting additional staff. Small schools will receive a minimum of £3000 to help them plan for the implementation with their catering providers.

North Yorkshire County Council will receive £1,269,049 (plus a further £184,466 for Voluntary Aided schools) in capital funding for 2014/15 to assist with the implementation of this new policy. A small number of schools have been identified where building work is required in order to deliver the entitlement. Feasibility studies are currently being carried out at these schools. It is estimated that 90% of schools will be fully ready to meet the entitlement in September with the remainder able to do so shortly thereafter. Interim arrangements may be needed in some schools (such as transporting meals from other schools) until the improvements to building and equipment are completed.

### **Foremost School**

The procurement exercise to establish a contract with a third party provider resulted in only a single tender being received. The evaluation process concluded that the bid would not deliver the quality of service required and that a contract could not be entered into. It has been agreed that the school should remain open as a local authority maintained school until a decision is reached about its future. An options appraisal is being carried out to inform decision making.

**County Councillor Arthur Barker**  
**Executive Member for Schools**

**NORTH YORKSHIRE COUNTY COUNCIL****23 July 2014****STATEMENT OF THE ADULT SOCIAL CARE AND HEALTH INTEGRATION  
PORTFOLIO HOLDER COUNTY COUNCILLOR CLARE WOOD  
NORTH YORKSHIRE COUNTY COUNCIL****Provision of care during the Tour de France**

The Tour de France provided a great opportunity to showcase North Yorkshire. However, it also provided a significant logistical challenge for the provision of care services both during the race and in the run-up to it. I am pleased to say that all care visits continued to be undertaken, as planned, by both County Council and Independent Sector staff during the period when many roads were closed. This achievement is testimony to the dedication and hard work of staff involved in both the planning and delivery of services and I would like to take this opportunity to thank everyone involved and to congratulate the County on a very successful Grand Depart.

**Extra Care**

The Extra Care programme is continuing to be developed across the County. In the past month, phase 1 of the development in Thirsk has opened and former residents of Cherry Garth elderly persons' have moved in. The schemes in Settle and Eastfield in Scarborough are also due to open before the end of the year. The Council's Executive will be receiving a report regarding a new development in Ingleton and Bentham along with proposals to deliver the Extra Care programme over the next five years at its meetings on 29 July and 19 August.

**Health Integration**

Work has been progressing with our Health and District partners on preparing to deliver our plans for the Better Care Fund. We are however awaiting further details about changes recently announced by the Government which will increase the emphasis on the reduction of avoidable emergency hospital admissions and change the framework for performance payments.

With our partners in Hambleton, Richmondshire and Whitby Clinical Commissioning Group, we have submitted an entry to the "Health Service Journal" Awards 2014 highlighting our partnership working on the integration of health and social care. The work that has been cited in the submission includes re-ablement services, public health lifestyle services, the "Fit 4 the Future" public engagement and the integrated night service in Hambleton and Richmondshire which supports people in the own homes and reduces hospital admissions.

**National Carers Week**

I was delighted to visit the Carers' Resource Centre in Northallerton as part of National Carers' Week which took place between 9 and 15 June this year and included a range of awareness-raising and practical events across the County. North Yorkshire has over 60,000 carers and the County Council plays an important role in

supporting many people with advice and information, grants and short breaks. Supporting Carers is, and will continue to be, a major priority for the County Council.

## **Care Act**

The Department of Health is running a consultation on the draft Regulations and Guidance for those elements of the Care Act that will come into effect from April 2015. This includes new duties around carers, providing information and advice (including financial advice that is independent from the Local Authority), and greater emphasis on integration between social care and partners including health and housing.

We are looking at the requirements of the Regulations and Guidance against our current policies and procedures and identifying where changes will need to be made. We will respond to the consultation before it ends on 15<sup>th</sup> August. The Department of Health is then expected to issue the final Regulations and Guidance in October.

The financial reforms that respond to the Dilnot report (such as the introduction of the Care Cap and Care Account and the revised financial threshold for financial assistance) will come into effect from April 2016. The draft Regulations and Guidance for these elements are expected at the end of the year.

We are continuing to work regionally and nationally with colleagues to influence the development of a national modelling tool for all authorities to complete, which will help consistently forecast the financial and workload implications of the different elements of the Act. It is hoped that this tool will be available late summer/early Autumn. In the meantime, it is not possible to accurately predict the costs to North Yorkshire County Council but, for us, as with other councils, they are likely to be substantial.

We will brief Members in more detail at a Members Seminar in the autumn.

## **Birthday Honours for County Councillor Shelagh Marshall OBE and Town Councillor Gavin Harding MBE**

I would like to congratulate County Councillor Shelagh Marshall for being honoured with an OBE in the Queen's Birthday Honours. As we all know, Shelagh is a very active Older People's Champion for North Yorkshire, working locally, regionally and nationally to make a difference and, in particular, to address concerns about loneliness and isolation. This award is a very fitting acknowledgement of her hard work.

I would also like to congratulate Selby Town Councillor, Gavin Harding, for being honoured with an MBE in the same Honours List. Gavin has a learning disability himself and has an influential role nationally and within the County on behalf of people with learning disabilities. These accolades are a positive recognition of Gavin's energy and commitment both to people with a learning disability and the wider community.

I am sure that colleagues from across the County Council will join me in sending our congratulations to both Shelagh and Gavin.

**CLARE WOOD**

**NORTH YORKSHIRE COUNTY COUNCIL****23 July 2014****SCRUTINY BOARD****STATEMENT BY THE CHAIRMAN**

Scrutiny Board last met on the afternoon 17 June 2014 after having attended the Executive (Performance Monitoring) in the morning. The arrangement of holding Board meetings on the same day as the Executive is working well. It is reducing travelling costs and making it easier for chairmen to attend to both meetings.

My Statement is a reflection on the involvement of scrutiny chairmen at the Executive and on our discussions at Scrutiny Board.

The meeting of the Executive focussed on the "Q Report" which provided an in-depth analysis of performance covering Public Rights of Way, Libraries, the Customer Services Centre and Finance. Performance was generally strong across all of these areas. A number of issues/possible developments were discussed where there may be opportunities for overview and scrutiny to become involved, including:

- Public Rights of Way:
  - benchmarking of performance with other local authorities.
  
- Libraries:
  - The number of visitors does not give the full picture of services being accessed. What might be the most appropriate measure of levels of activity/business?
  
- Customer Service Centre:
  - Improving efficiency in terms of how customers communicate with the Centre and the time taken to deal with enquiries. For instance is there scope for increased use of on-line forms rather than receiving free text Emails?

At Scrutiny Board we were able to contribute to the on-going development of the format and content of the Executive "Q Reports". We felt that the reports need to be easy to understand, comprehensive and focused so that areas of strong service performance are recognised, and, where there is an under performance, there is a plan for improvement.

At Scrutiny Board we also considered a report which highlighted arrangements recently put in place to acknowledge that the public have the right to film/record committee meetings. We felt there should also be some wording on agendas to inform Members of the public wishing to speak that if they do not want to be recorded they should make their views known to the Chairman.

**County Councillor Elizabeth Casling**  
**Chairman: Scrutiny Board**

**8 July 2014**

## NORTH YORKSHIRE COUNTY COUNCIL

23 July 2014

**SCRUTINY OF HEALTH COMMITTEE – STATEMENT BY THE CHAIRMAN**

The main areas of involvement of the Scrutiny of Health Committee and developments in the NHS impacting on our work since my last report are summarised below.

**Children's and Maternity Services, Friarage Hospital**

Following our referral of the proposals to him, Jeremy Hunt, Secretary of State for Health announced in May that he would not be asking the Independent Reconfiguration Panel to carry out a full and independent review of this matter. This was a disappointing outcome.

However, from the perspective of the Scrutiny of Health Committee it has gone as far it can so we now need to accept this decision and look forward. We will now ensure the proposals are implemented as smoothly and with as little disruption to patients as possible. This will include making sure ambulance transfer arrangements are put in place, the James Cook Hospital and the Darlington Hospital have sufficient capacity to deal with the additional births and community nursing services and consultant out-patient services are fully in place.

**“Fit4theFuture” Initiatives in the Hambleton, Richmondshire and Whitby Clinical Commissioning Group (CCG)**

The Hambleton, Richmondshire and Whitby CCG have launched two “Fit4theFuture” Initiatives:

- “Fit4theFuture” – Enhancing community health and social services in Whitby and surrounding area
- “Fit4the Future” - Preparing for an aging population

The first initiative includes a vision for a new Whitby Hospital and at our meeting on 13 June we considered the Whitby Hospital Strategic Estate Options Appraisal. We were informed that the preferred option was to remodel and refurbish the four storey building on the rear of the current site which would then be able to meet all clinical requirements. The remaining surplus area could then be developed for community use such as extra care housing and bungalows for people with learning disabilities or alternative housing. It was emphasised that the proposal was very much at the initial planning stage and therefore could be the subject of significant change before final approval was granted. A report is due to be presented to the CCG’s governing body on 24 July.

“Fit4the Future” - Preparing For An Aging Population highlights the problems facing the whole of the CCG area and the need for more joined-up primary care, non-urgent care, community care and social care so that people can live independently in their own homes. A long term plan for reconfiguring and integrating community services as well as a long term strategy for the Friarage Hospital is expected to be published during the autumn.

**Right Care First Time – Urgent Care Services in Scarborough and Ryedale**

Between January and March of this year the Scarborough and Ryedale CCG consulted formally on proposals for improving urgent care services in Scarborough and Ryedale.

'Urgent care' is for a sudden illness or injury that needs treating fast, but is not considered to be a 999 emergency. No appointment is needed to access an urgent care service. Urgent care services should not be used to treat minor symptoms that could be treated by GPs, pharmacists or using over the counter medicines.

The current urgent care services included are:

- GP out-of-hours service
- Walk-in service at Castle Health Centre, Scarborough
- Malton Minor Injuries Unit (MIU)

The main themes identified from the consultation were:

- Broad support for the need to review urgent care and the case for change
- Location of the new centres – easy access with ample free parking, good public transport links for those without transport
- Scope to improve consistency in quality of urgent care
- No gap in walk-in service provision between Castle Health Centre contract expiring and new service launching
- Concern of impact on other services provided at Castle Health Centre
- Issues raised around access to primary care which is leading to inappropriate use of urgent care and emergency services
- Need for patient education pre and post launch

Based on these findings a specification for the new service has been produced and the CCG is now carrying a procurement exercise with a view to announcing a preferred bidder at the CCG Board meeting in September.

We have consistently expressed concern over the fact that the CCG had no plans to stipulate where exactly the centres should be located, other than to say they should be in Scarborough and in Ryedale. Our main concern is over the long term future of the MIU at Malton Hospital if the Ryedale centre is not located at the Hospital. Our further involvement will be dependent on decisions taken at the CCG board meeting.

### **Developments at York Hospitals NHS Foundation Trust**

At our meeting on 13 June Mike Proctor, Deputy Chief Executive at York Teaching Hospitals NHS Foundation Trust briefed us on a range of developments, including:-

- community hubs in Malton and Selby;
- developments in community services in the Whitby area and at Whitby Hospital;
- midwife led unit at Scarborough Hospital; and
- increasing elective surgery at Bridlington Hospital.

We heard that faced with a growing demand for services and an increasingly elderly population, unless care pathways changed the current system would be unsustainable. It was pointed out that significant numbers of secondary care patients could be managed better and more cost effectively by providers working together.

We were advised that the Trust, together with the CCG had elected to develop community hubs in Malton and Selby on a pilot basis as opposed to going down the formal procurement route. Both community hubs were still in the initial stages of development, a final timescale had still to be agreed although the Malton pilot was slightly more advanced. It was hoped an initial model for Malton would be in place by October. In response to our questions we were informed that the Trust aimed to provide patient transport and that problems surrounding data sharing had delayed progress.

To ease the demand on in-patient beds at Scarborough Hospital more elective surgery is being carried out at Bridlington Hospital. Patient feedback had been very positive. The vast majority of patients were reported as saying that they were happy to travel the increased distance if it meant their operation could go ahead as planned. Based on this success the Trust was considering plans to extend the surgery available to include day cases.

We were also informed that the mid-wife led unit at Scarborough Hospital would shortly be re-opened.

### **Federation of GPs**

At our mid cycle briefing meeting in May we heard that York Medical Group and Minster Health practices are federating. The federation will cover a total of 10 practices and a patient population of 70,000.

At our Committee meeting on 13 June we heard that plans for 21 GPs to federate in the Hambleton, Richmondshire and Whitby CCG are well advanced. I understand that plans for GPs to federate are being considered or taking shape in the other CCGs.

The reasons put forward for federation particularly by smaller practices include:

- long term sustainability, the need to seek operational savings that can be realised through enhanced “buying-power”.
- Many premises are not well suited to meet future demand.
- Ability to bid for services that will be put out to tender in the future.

Whilst we heard that robust governance arrangements are being introduced, we remained concerned over the potential for conflicts of interest as the CCGs, the main commissioners, would be evaluating tenders and possibly commissioning services from GPs who are part of that CCG.

### **Ophthalmology (Eye Clinic) Services at Whitby Hospital and Selby**

Local Members have expressed concern over the closure of the eye clinic at Whitby Hospital without any public engagement or consultation.

I have written directly to the Chief Executive and Deputy Chief Executive, York Hospitals NHS Foundation Trust, who informed me that the ageing population has placed the ophthalmology service under particular pressure locally. There is a national problem with recruitment of medical staff in this speciality. The Trust has assured me that no decision has been made to close the service permanently. The Trust and the Hambleton, Richmondshire and Whitby CCG have agreed that the CCG are free to commission the service in Whitby from another provider to the extent they choose. The CCG has confirmed they are exploring other options for providing the service.



It is disappointing that the closure went ahead without any prior engagement with the public. I will be writing formally to the Trust and the CCGs to make my views known. I will also copy my letter to the North Yorkshire and Humber Area Team of NHS England.

The Trust has also informed me that they are facing a similar situation in Selby and that they have been in discussion with Vale of York CCG around a similar approach to safeguard services overall. For the foreseeable future the service will be provided at York Hospital in the same way that most other outpatient services currently are for York and Selby residents.

The Trust commented that if they had the consultant manpower there is no doubt they would wish to continue the service in both localities if commissioners so wanted.

### **New Scrutiny of Health Guidance**

The Department of Health published new guidance last month which summarises parts of the Health and Social Care Act 2012 relating to health scrutiny. It confirms the aim of health scrutiny:

“The primary aim of health scrutiny is to strengthen the voice of local people, ensuring that their needs and experiences are considered as an integral part of the commissioning and delivery of health services and that those services are effective and safe.”

The guidance reaffirms health scrutiny's strategic role taking an overview of how well integration of health, public health and social care is working, seeking information about the performance of local health services and institutions; and being part of the accountability of the whole system.

One of the most important aspects of the guidance, in my view, is need for health scrutiny to maintain effective channels by which the public can communicate concerns about the quality of NHS and public health services. In North Yorkshire the Scrutiny of Health Committee draws heavily on the knowledge of local councillors in achieving this.

The guidance also refers to the public inquiry, led by Sir Robert Francis QC, into care failings at Stafford Hospital in which he commented that “scrutiny ought to involve more than the passive and unchallenging receipt of reports”. In North Yorkshire I think we have found a reasonable balance. Whilst our agendas frequently have an element of overview reports which do not require an issue to be challenged, we offer constructive comments and advice rather than passively noting the issue. We are frequently in “full scrutiny mode”. I particularly have in mind our recent involvement in the changes at the Friarage Hospital which we referred twice to the Secretary of State for Health. Another example is our work on the impact, particularly in rural areas, of withdrawal of the Minimum Practice Income Guarantee for GPs on which I have also written twice to NHS England.

**County Councillor Jim Clark**

**Chairman: North Yorkshire County Council Scrutiny of Health Committee**

**11 July 2014**

**North Yorkshire County Council****21 July 2014****Young People Overview and Scrutiny Committee****Chairman's Statement**

My Chairman's statement for this full council includes details of the Committee meeting on the 27<sup>th</sup> June 2014. It was a full agenda with the meeting focussing on preventing homelessness, the review of preventative services, the draft children and young people's plan; 'Young and Yorkshire', The Promise, online safety of children and young people, welfare benefit reform and child poverty and school Ofsted outcomes.

**1. Homelessness prevention**

The Committee were advised of the homelessness prevention accommodation pathway which has been in full operation since October 2012. The report summarised the levels of performance achieved by the pathway over its first 12 months of operation

We were informed that access to the pathway is provided through 7 District area hubs where multiagency teams, County and District, work to prevent homelessness. Wherever possible and safe to do so, young people are returned home or supported to remain in their accommodation. Where this isn't possible then they are provided with accommodation and support until they are able to live independently.

The pathway is resourced through a community budget managed by the Supporting People Partnership. Performance and conflict resolution are managed by a governance group attended by CYPS and housing authority officers, this meets on a monthly basis.

Members expressed some concern over the numbers of young people presenting as homeless particularly in the Ryedale and Scarborough areas. We will be having discussions with officers to look closer at the figures and dig a little deeper to find out more.

**2. Preventative Services review**

The Committee were updated on progress with the review of services which provide preventative work with children, young people and families which was launched on 24 March 2014 (the consultation period ended on the 23<sup>rd</sup> June 2014)

We see the key components of the new service not only ensuring an holistic approach to preventative services but one that engages with families across an age range where appropriate whilst also improving integration of services within children centre areas.

This is a large, highly complex review. It is complicated in terms of the staffing implications, of the interaction with services which communities often hold in high regard, and sensitive in terms of the risk factors associated with any work with vulnerable children, young people and their families.

Whilst without doubt the direction of travel, towards a single Prevention Service to support the 0-19 age range and their families, is what we would have wished to do, the Committee understands having to make savings of £3.2million at the same time provides significant additional challenge. The Committee requested a further update in six months time.

### 3. Young and Yorkshire; children and young people's plan

Members were pleased to receive the draft of the children and young people's plan; Young and Yorkshire which is driven by a vision for the future of services for children and young people.

Two vision statements have been developed, a children's version and a statement drawn up by the professionals who work with children and young people:

*"We want North Yorkshire to be a cool place with loads of great things to do"*  
(Children's version)

*"We want North Yorkshire to be a special place where every childhood is magical and every young person thrives"*  
(Professional's version)

The new Plan sets out 10 guiding principles underpinning all that we do to support, children, young people and their families including their involvement in all stages of planning, delivering and evaluating services.

The Committee learnt that the plan has 3 key priorities. This does not mean that other important issues will be forgotten or neglected, simply that the three priority areas represent our most pressing challenges. The three priorities are:

- **Ensuring that education is our greatest liberator**, with a greater proportion of pupils attending a good or outstanding school
- **Helping all children enjoy a happy family life**, with a safe reduction in the care population
- **Ensuring a healthy start to life**, with more children and young people leading healthy lifestyles

With 2 vision statements, 10 guiding principles and 3 key priorities it is good to see that the plan also recognises the importance of children, young people and families having fun, being happy and enjoying life!

### 4 The promise

With close links to the children and young people's plan, 'The Promise', we were advised, is a tool to encourage organisations and services to involve children and young people in every aspect of their planning and decision making where appropriate.

The Promise is made up of 5 separate principles, each one covering a different aspect of participation. Children and young people from across the county have been instrumental in the development of the principles set out below.

North Yorkshire County Council will:

- Principle 1** Involve children and young people in designing, developing and reviewing services which are provided for them.
- Principle 2** Involve children and young people when making decisions on services which affect them.
- Principle 3** Provide children and young people with opportunities to raise issues which are important to them, and to ensure they are listened to.
- Principle 4** Feedback to children and young people on what has been said and what we are going to do as a result.
- Principle 5** Provide information which may be used by children and young people in accessible and appropriate formats.

It is important to listen to the voice of children and young people and we welcome their involvement in the development of the principles above. We will certainly include the principles in our future consideration of any reports.

## **5 Online safety of children and young people**

The work of the Committee looking at the online safety of children and young people has been a significant and important element of our work programme. Our Task Group has worked closely with officers and the North Yorkshire Safeguarding Children Board as well as listened to children and young people to look for ways to keep our young people safe online.

I would like to take this opportunity to thank the members of the Task Group; County Councillors Joe Planet, Val Arnold, Janet Sanderson, David Jeffels and Mr Graham Richards, for their hard work and enthusiasm. The task group chairman County Councillor Joe Plant presented the draft final report and advised us that *'New technologies open up many exciting benefits and opportunities for children and young people but they can also present some risks. Technology is becoming all pervasive, touching all areas of society, with children and young people having increasing access to personal technology such as web – enabled phones. We must ensure, therefore, that a framework is in place to help children and young people stay safe when using technology, and to ensure that where problems do occur, children and young people and their parents and carers have support dealing with them effectively.'*

The report offers recommendations, including supporting the North Yorkshire Safeguarding Children Board in a joint campaign with the NSPCC, for the Executive to consider at its meeting on the 8<sup>th</sup> July 2014 and for the North Yorkshire Safeguarding Children Board on the 14<sup>th</sup> July 2014. We look forward to hearing their response and feedback in due course. As the report also says, *'This may be the end of this report but it is most definitely the beginning of this most important piece of work. In focussing attention on online safety we have to ensure that the measures we employ to make children and young people safer online are continuously reviewed and updated as this is an area that will never stand still.'*

## **6 Welfare benefit reform and child poverty**

The Committee recognised that the Welfare Reform Act 2012 introduced a wide range of reforms with the stated aims of making the benefit and tax credits system for people of working age more affordable and simpler by; creating incentives to get more people into work by ensuring that work always pays; merging out of work benefits and tax credits to create a single universal credit and reforms to other benefits aimed at reducing the cost of the benefits system.

Members were advised that child poverty is currently measured as the proportion of children living in households with an income 60% less than median household income, and is described as the "Percentage of Children in Low Income Families". The data is reported approximately two years in arrears and therefore the most recent measure available is for the year 2010/11. At that time the data indicates that North Yorkshire has the ninth lowest proportion of children in poverty among local authorities in England. However, across North Yorkshire there were areas where the percentage of children in poverty is much higher, rising to over 25% in some wards.

Members were assured that the County Council supports, advises and works with families where benefit reforms may have a detrimental impact and where children may be living in poverty.

## **7 School Ofsted outcomes**

We heard that whilst North Yorkshire schools are in-line with national comparisons for the percentage of schools that are good or outstanding, both primary and secondary schools are slightly below, 1% and 2% respectively. Primary are gradually improving whilst secondary is maintaining its performance. The percentage of pupils who attend a good or outstanding school compares well with national figures for secondary and is below national for primary. However, rankings place North Yorkshire schools in the third quartile and we are reassured that this is an absolute key priority for improvement.

The Committee is keen to maintain its interest in improvements including the impact of the strategies such as the use of trained and active Ofsted inspectors within schools and the use of partnerships and welcomes an update report to its next meeting.

## **8 Work programme**

The work programme report included information on the horrific crime of female genital mutilation. This was raised with me at full council and the County Council's responsibilities to the sufferers and those at risk is an area I intend to look at in more detail at the mid cycle briefing.

The Committee also agreed to include a report on lesbian, gay and bisexual young people onto the work programme.

### **County Councillor Elizabeth Shields**

Chairman Young People Overview and Scrutiny Committee

July 2014

**NORTH YORKSHIRE COUNTY COUNCIL****23 JULY 2014****CARE AND INDEPENDENCE OVERVIEW AND SCRUTINY COMMITTEE****CHAIRMAN'S STATEMENT****Better care Funding**

1. We are seeing increasing signs of overlap as the boundary between health and care provision becomes less distinct. This is reflected in the Government's creation of a £3.8bn pooled budget for 2015-16, intended to help move care out of hospital and into the community and improve working and integration between health and social care.
2. The Committee learned that there is little in terms of a national definition in the way this integration should happen, although there are nationally set conditions that must be met. The Committee understands that, together with health partners, the North Yorkshire Plan sets out our three main priorities: 1) to improve health, self-help and independence for North Yorkshire people; 2) to invest in primary care and community services; and 3) to create a sustainable system. Ministerial signoff of the Plan has yet to be given, but the Committee was reassured that absence of that approval will not delay activity on the key objectives of the plan.
3. The Committee intends on reviewing what further work is undertaken to deliver the stated ambition.

**The Care Act**

4. For some time the Committee has taken a keen interest in the Government's reaction to the proposals in the Dilnot Commission on the way people fund residential care. The detail can now be found in the Care Act. But the planned reforms that the Care Act brings are more radical in scope in that they provide a whole new legal framework for adult social care, to the funding system and the wider duties of local authorities and rights of those in need of social care. We recognised that the potential impact on finances and working practices is enormous.
5. The agenda facing the Directorate is a challenging one, but most observers would agree the changes are progressive, particularly the new duty for authorities to promote physical, mental and emotional wellbeing in all decisions regarding an individual's care needs. The greater emphasis than ever before on prevention, particularly around advice and information, will no

doubt receive wide support. The demands upon local authorities are not insignificant, however. There is, for example, a new duty to facilitate a vibrant, diverse and sustainable market for high quality care and support for the population, regardless of how services are funded.

6. We will examine most of the Act's other proposals - greater support for carers, a duty of local authorities to co-operate and to map out the process for assessment charging, entitlements and care planning – as part of our regular scrutiny work. We routinely review the Annual Safeguarding Board Report so were especially pleased to see that Adult Safeguarding responsibilities will now be put on a statutory footing for the first time.

### **Care Quality Commission Consultation on Inspection Methodology to be implemented by March 2016**

7. When we looked at proposed changes to how the Care Quality Commission (CQC) regulates, inspects and rates health and social care services this gave us an opportunity to review how HAS currently monitors the quality of services provided at 164 care homes, 70 care homes with nursing and the 120 domiciliary care providers. The outcomes from last year's assessments demonstrated to us that an effective service is in place to protect the public and ensure that residential care and domiciliary care services are of a quality that people would expect.
8. During discussion of the topic, Members expressed interest in being more closely involved in the work of the HAS Inspectorate and Monitoring Team - perhaps accompanying staff, where appropriate, on certain inspections and visits. When we think about the elected member role in promoting stronger communities, there might be real advantages to this, but first and foremost we need to acknowledge the sensitivities about going into what is, after all, someone's home. Equally, we need to understand just how manageable any such arrangement would be and also ask ourselves, objectively, how Members can contribute positively.

**County Councillor Patrick Mulligan**  
**Chairman**  
**Care and Independence Overview and Scrutiny Committee**

**County Hall,**  
**NORTHALLERTON**

**11 July 2014**

**North Yorkshire County Council****23 July 2014****Corporate and Partnerships Overview and Scrutiny Committee****Chairman's Statement**

This is my first chairman's statement and as luck would have it has been made more difficult to write as the Committee has yet to meet under my chairmanship! My statement therefore looks ahead to our meeting on the 21<sup>st</sup> July 2014. The items on the agenda are listed below with a brief description.

**1. Update on the police and crime plan**

We welcome back the Police and Crime Commissioner Mrs Julia Mulligan to the Committee and look forward to hearing an update on the North Yorkshire Police and Crime Plan

*'The Police and Crime Plan reflects the Commissioner's mission, priorities, manifesto commitments and the needs of local people across our communities.*

*It sets out a clear vision for policing and community safety across our area'*

[www.northyorkshire-pcc.gov.uk](http://www.northyorkshire-pcc.gov.uk)

**2. Property disposals process**

Following discussion at the meeting of the Committee in February this year Members requested a report that detailed the process used for the disposal of North Yorkshire County Council properties.

**3. Property performance report 2014**

This regular report gives detail on the performance of the County Council and our contractors with regards to property.

**4. Strategy for the future arrangements for property and for Business and Environmental Services Engineering services from April 2016**

This report contains information of the type defined in paragraphs 3 and 4 of Part 1 of Schedule 12A Local Government Act 1972 (as amended) and as such will be a not for publication report.

It will be for the Committee to consider and comment on the strategy for the future procurement of property consultancy and Business & Environmental Services (BES) engineering consultancy prior to its consideration by the Executive.

**5. Work programme**

After discussion with the other lead members of the Committee I am proposing to the Committee that we agree a task and finish group to hold a workshop with officers to look at the criteria the County Council uses when looking at the possible categorisation of libraries across the county area. If



agreed, a report back to the Committee will be programmed in for the October 2014 meeting.

- 6 I look forward to chairing the Corporate and Partnerships Overview and Scrutiny Committee and would like to take this opportunity to thank County Councillor Swales for the sterling job he has done in this role over the past year.

**County Councillor Derek Bastiman**

Chairman Corporate and Partnerships Overview and Scrutiny Committee

County Hall

Northallerton

July 2014

**North Yorkshire County Council****23 July 2014****Transport, Economy and Environment  
Overview and Scrutiny Committee****Chairman's Statement**

- 1 The Committee's 16 July committee has yet to take place at the time of writing. However I will be able to provide feedback to the County Council meeting on the 23 July. My statement also includes a progress update on the Committee's local bus services review and refers to the recent meeting of the Member Working Group on the Minerals and Waste Development Framework. A number of Members from the Transport, Economy and Environment Overview and Scrutiny Committee sit on the group.

**Committee – 16 July**

- 2 The items to be considered at the meeting will include Ringway's performance under the Highways Maintenance Contract for 2013/14 plus an annual update from the Highways Agency.

**Local Bus Services Review**

- 3 As reported to the County Council in February, the Committee has set up a task group to consider the access needs of communities in North Yorkshire and how these might best be met using a range of transport options. Since then the Task Group has been busy holding a series of themed meetings and consulting with community transport schemes and other local authorities about their passenger transport plans. The group has also undertaken visits to examples of best practice Community Transport provision in our county.
- 4 Our meetings have been based around the essential services that people need to access and the range of transport solutions available for people without access to a car. For example in early May the group met with bus operators to discuss how bus services can be put on a more sustainable footing and how we can work better together. The meeting threw up lots of practical ideas including exploring opportunities to jointly fund a publicity campaign to promote bus travel in the county.
- 5 Later on in May the group met with health partners to see how they, the County Council and community-led patient transport schemes could work more effectively together to ensure people with limited mobility can either get to health appointments more easily or are able to access healthcare more locally. At the same meeting we met with the Federation of Small Business to discuss if and how small businesses could help to keep transport services sustainable. We also met with groups representing disabled people to discuss access needs and the transport problems that they face in trying to access essential services.

- 6 The report with recommendations will be submitted to the Committee in October. The recommendations will centre upon: frequency of access to essential services; making best use of existing passenger transport services; and exploring additional opportunities and developments.

### **Member Working Group on Minerals and Waste Development Framework**

- 7 The Members Working Group met on Monday 23 June 2014 to receive consultation feedback on the 'issues and options' stage of the process for developing the Joint Minerals and Waste Plan with the City of York Council and the North Yorkshire Moors National Park Authority.
- 8 The various stages of the process for developing the Plan are complex with a lot of information and views to feed in to the process. There is a need to produce a robust evidence base and for the Plan to take account of other planning issues affecting the county, including housing growth.
- 9 The issues and options consultation has shown that there is quite a lot of pressure for the County Council to have a 'no fracking' policy. As discussed at the meeting though, it's unrealistic to have such a policy. This is due to national government policy and the fact that existing areas are licensed for oil and gas developments in North Yorkshire. Rather, the County Council will need to have a robust local policy in place to guide its decision-making on planning applications on a case by case basis in relation to environmental impacts. The minerals industry has also called for further work to be undertaken on assessing future demand for aggregates minerals.
- 10 70 sites have been submitted for consideration - most are mineral sites. A methodology is being put in place to assess each of the sites, with a round of visits being undertaken to all. A list of preferred sites will be produced for the next round of consultation, which will form the first draft of the Plan. The final draft version is scheduled to be submitted to government later in 2015.

### **County Councillor David Jeffels Chairman**

Transport, Economy and Environment Overview and Scrutiny Committee

10 July 2014